



University  
of Glasgow

# Particle acceleration and radiation processes at the Sun

*Eduard Kontar*

---

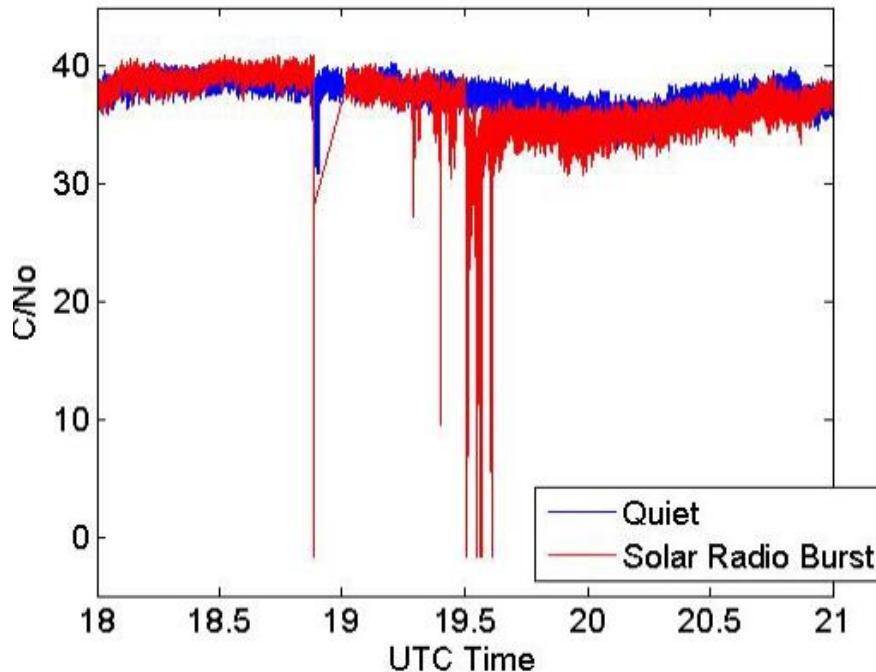
*School of Physics and Astronomy  
University of Glasgow, UK*

The 1st Summer School of Hel.A.S., 1-5 September 2014, Athens

- I) Energetic particles at the Sun:  
Observations, motivations
- II) X-ray emission mechanisms/properties
- III) Radio emission mechanisms/properties
- IV) Particle acceleration mechanisms

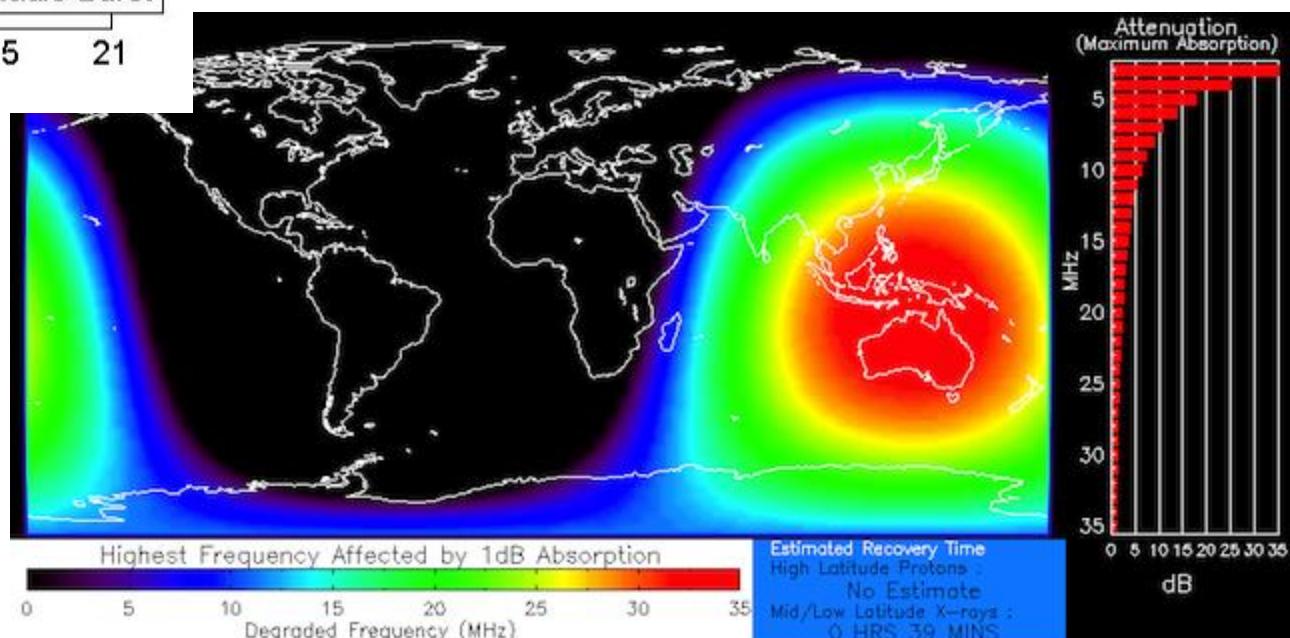


Apr 17 2002 23:59:32



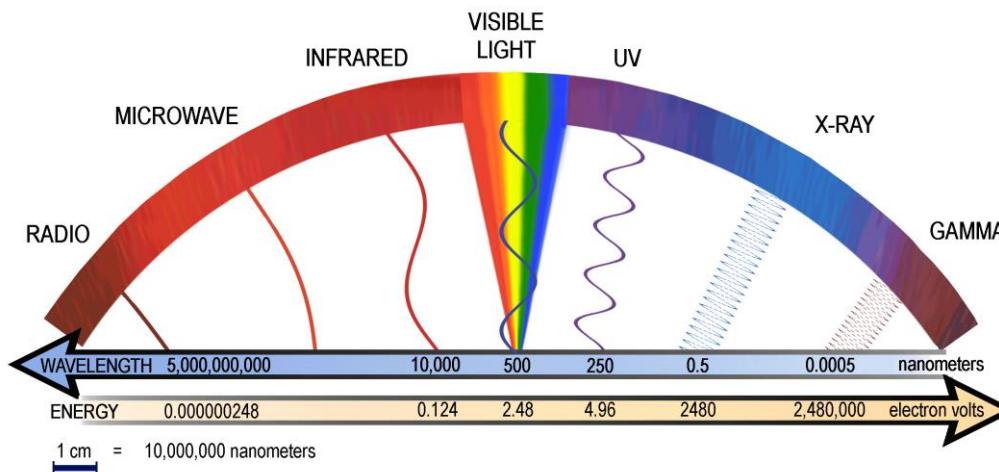
Most GPS receivers in the sunlit hemisphere failed for ~10 minutes. (P. Kintner) at Dec 6<sup>th</sup>, 2006 (tracking less than 4 s/c)  
See Gary et al, 2008

Ionising  
radiation and  
impact on  
ionosphere

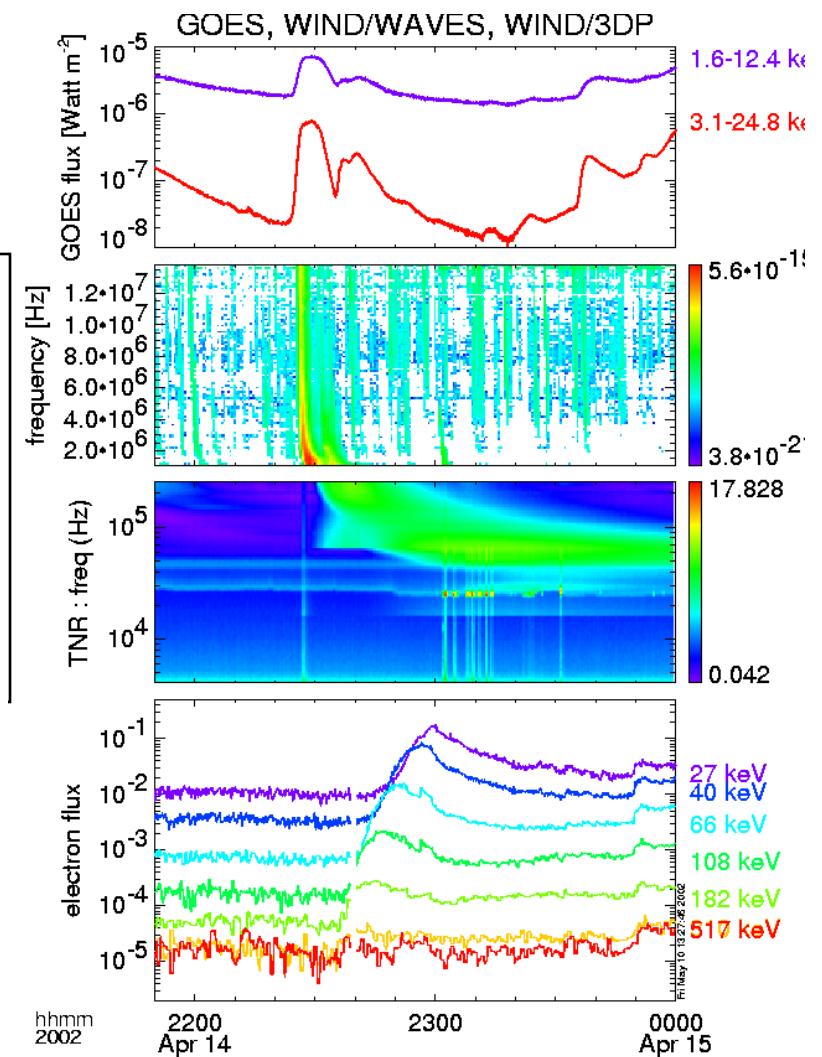


**Solar flares** are rapid localised brightening in the lower atmosphere.

More prominent in X-rays, UV/EUV and radio.... but can be seen from radio to 100 MeV



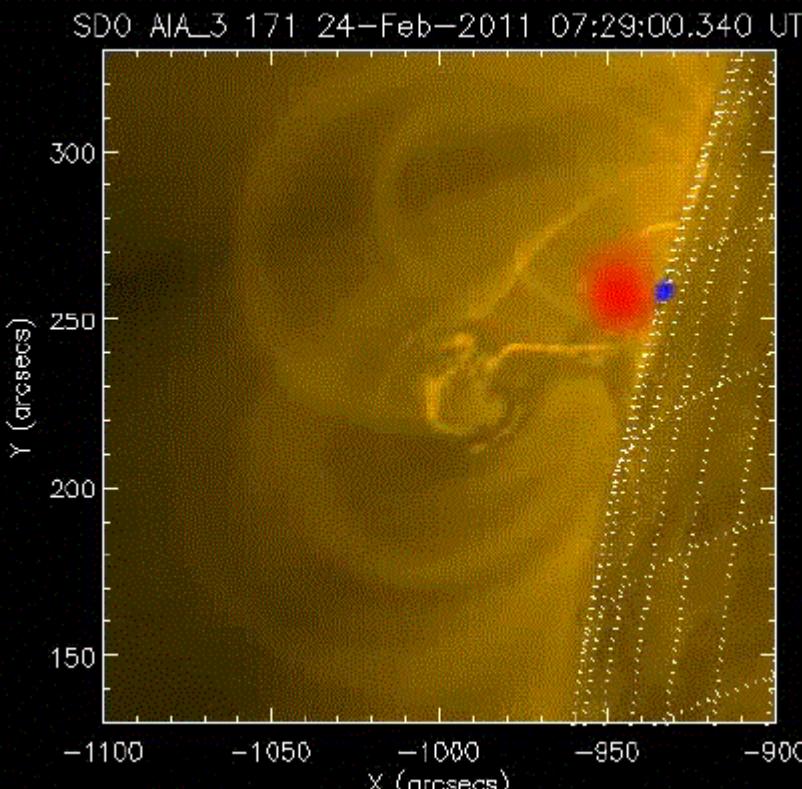
## X-rays



## radio waves

## Particles 1AU

Figure from Krucker et al, 2007



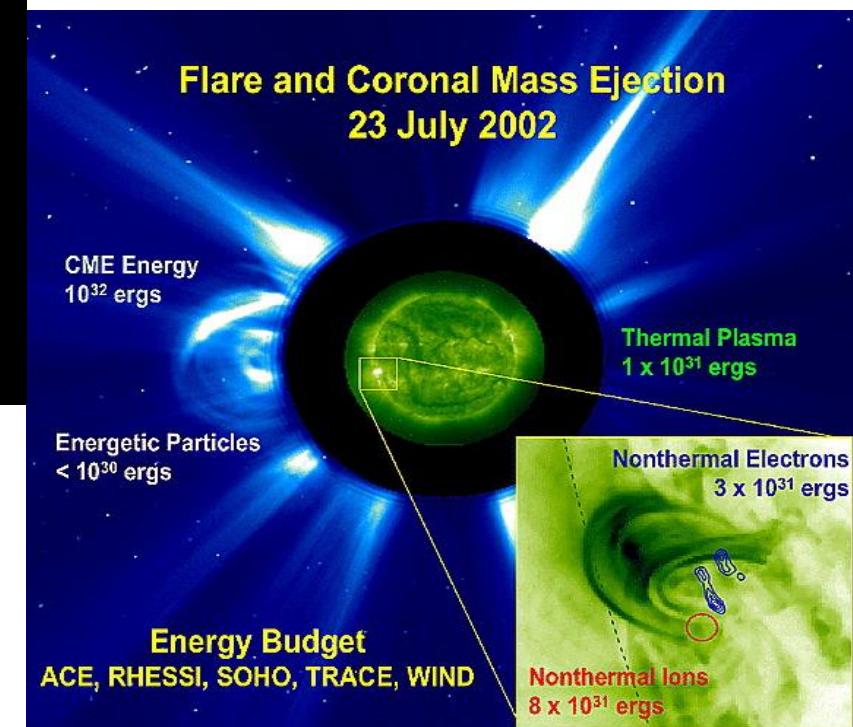
From Battaglia & Kontar, 2011

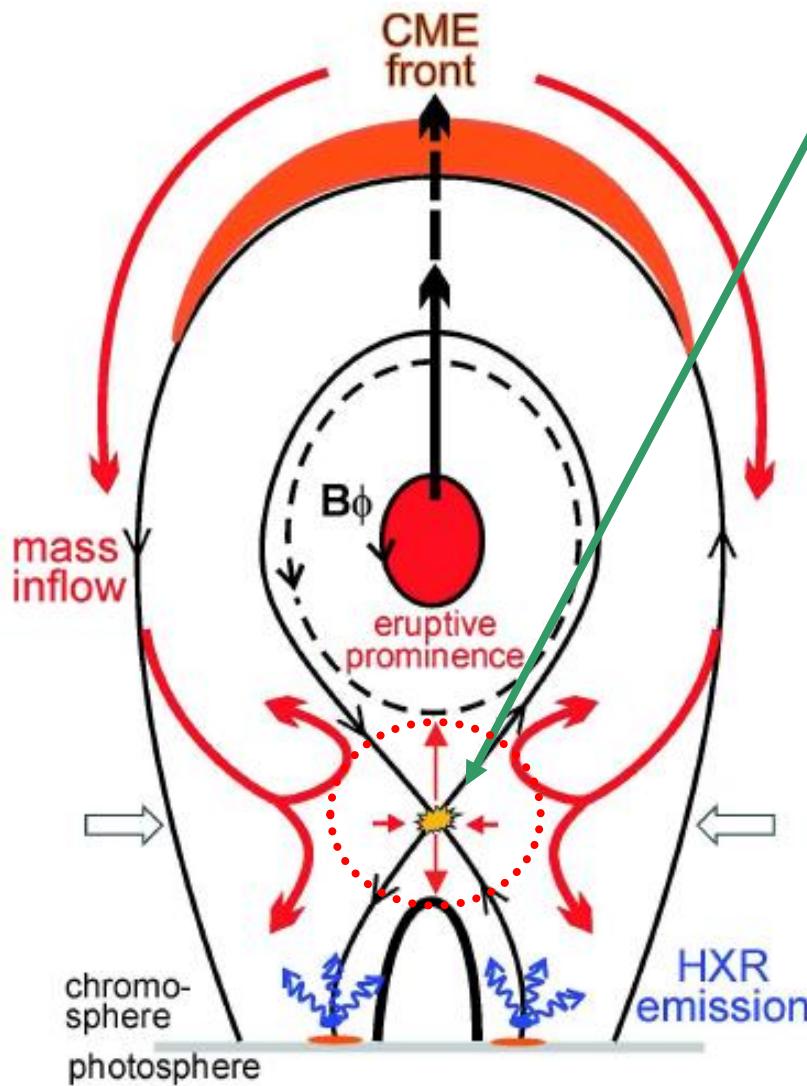
Energy  $\sim 2 \times 10^{32}$  ergs

From Emslie et al, 2004, 2005

**Solar flares** are rapid localised brightening in the lower atmosphere.

More prominent in X-rays, UV/EUV and radio.... but can be seen from radio to 100 MeV





## Energy release/acceleration

**Solar corona**  $T \sim 10^6 \text{ K}$   $\Rightarrow 0.1 \text{ keV per particle}$

**Flaring region**  $T \sim 4 \times 10^7 \text{ K} \Rightarrow 3 \text{ keV per particle}$

**Flare volume**  $10^{27} \text{ cm}^3 \Rightarrow (10^4 \text{ km})^3$

**Plasma density**  $10^{10} \text{ cm}^{-3}$

**Photons up to  $> 100 \text{ MeV}$**

**Number of energetic electrons  $10^{36}$  per second**

**Electron energies  $> 10 \text{ MeV}$**

**Proton energies  $> 100 \text{ MeV}$**

**Large solar flare releases about  $10^{32} \text{ ergs}$**

**(about half energy in energetic electrons)**

**1 megaton of TNT is equal to about  $4 \times 10^{22} \text{ ergs}$**

Figure from Temmer et al, 2009

# X-ray and gamma-ray emissions

Observed X-rays

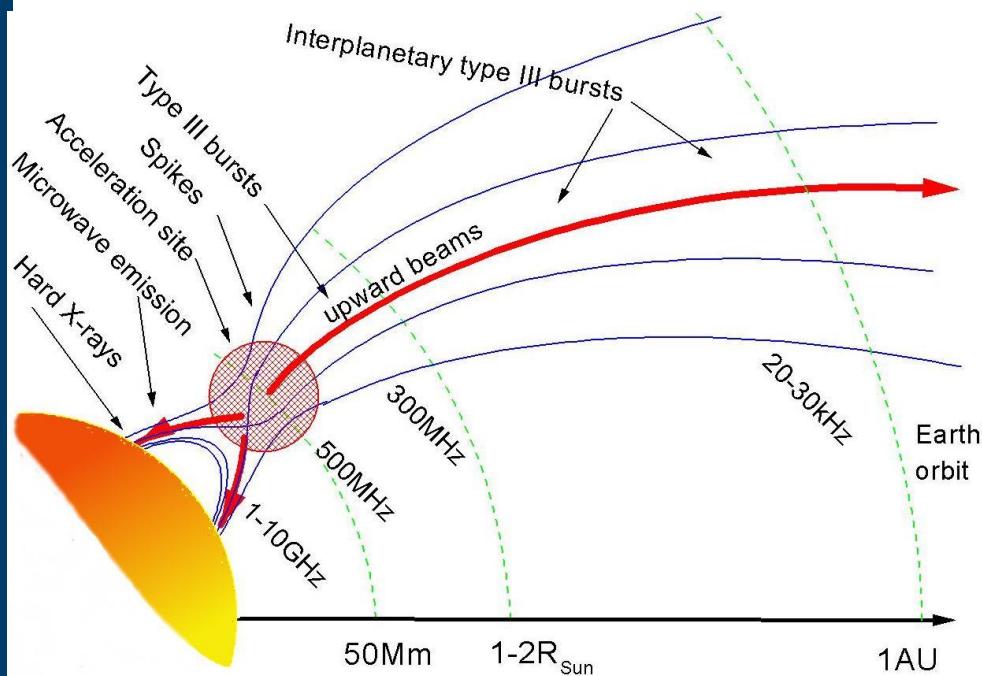
$$I(\epsilon, \Omega, t) = \int_{\ell} \int_{\Omega'} \int_{\epsilon}^{\infty} n(\mathbf{r}) \bar{F}(E, \Omega', \mathbf{r}, t) Q(\Omega, \Omega', \epsilon, E) dE d\Omega' d\ell,$$

Unknown electron distribution

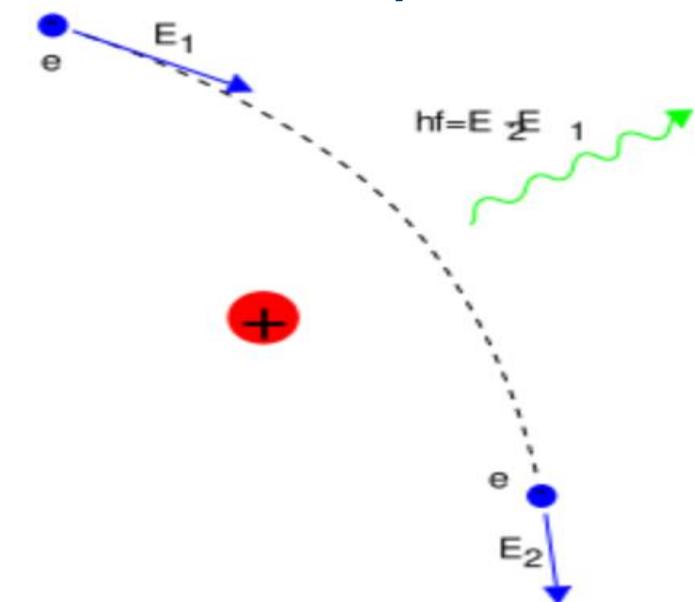
Emission cross-sections

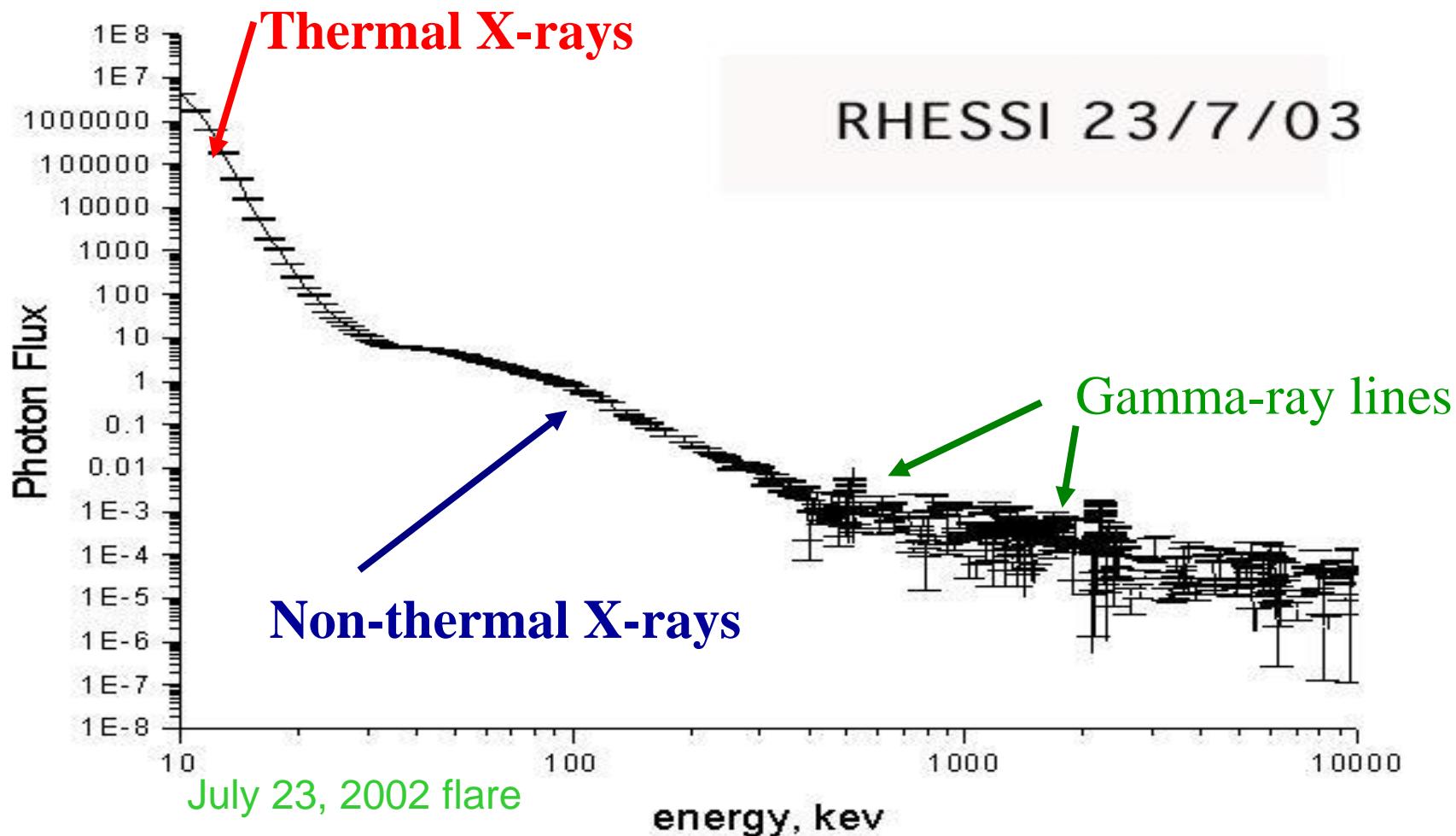
Thin-target case: For the electron spectrum  $F(E) \sim E^{-\delta}$ ,

## Electron-ion bremsstrahlung (free-free emission)



Dominant process for energies  $\sim 10 - 400$  keV  
the photon spectrum is  $I(\epsilon) \sim \epsilon^{-\delta-1}$





Ramaty High Energy Solar Spectroscopic Imager (**RHESSI**) spectrum

For spatially integrated spectrum:

$$I(\epsilon) = \frac{1}{4\pi R^2} \overline{nV} \int_{\epsilon}^{\infty} \overline{F}(E) Q(\epsilon, E) dE,$$

Thin-target case: For the electron spectrum  $F(E) \sim E^{-\delta}$ ,

## a) Electron-ion bremsstrahlung (free-free emission)

Dominant process for energies  $\sim 10 - 400$  keV

the photon spectrum is  $I(\epsilon) \sim \epsilon^{-\delta-1}$

In the simplest form Kramers' approximation:  $Q(\epsilon, E) = Z^2 \frac{\sigma_0}{\epsilon E}$ ,

## b) Electron-electron bremsstrahlung (free-free emission)

Dominant process for energies above 400 keV

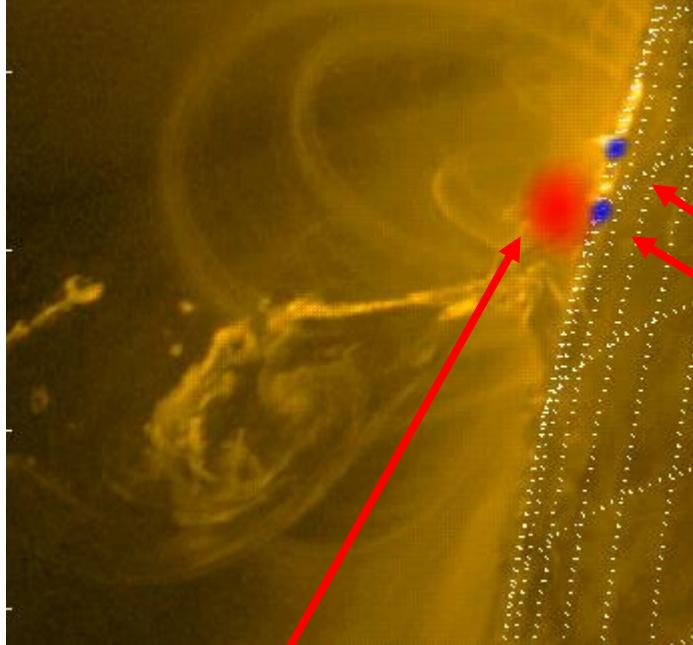
the photon spectrum is  $I(\epsilon) \sim \epsilon^{-\delta}$

## c) Recombination emission (free-bound emission)

Could be dominant process for energies up to 20 keV

the photon spectrum is **shifted by ionisation potential** and  $I(\epsilon) \sim \epsilon^{-\delta-2}$

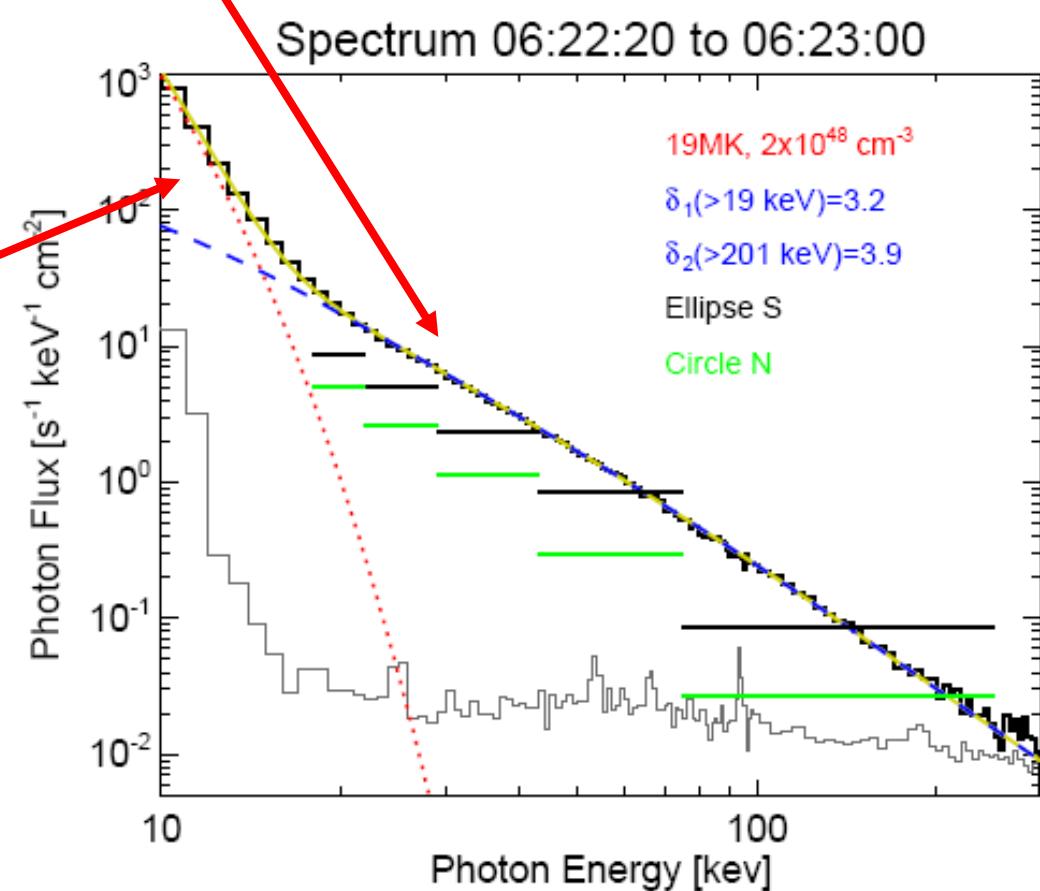
(The process requires high temperatures and detailed ionisation calculations)

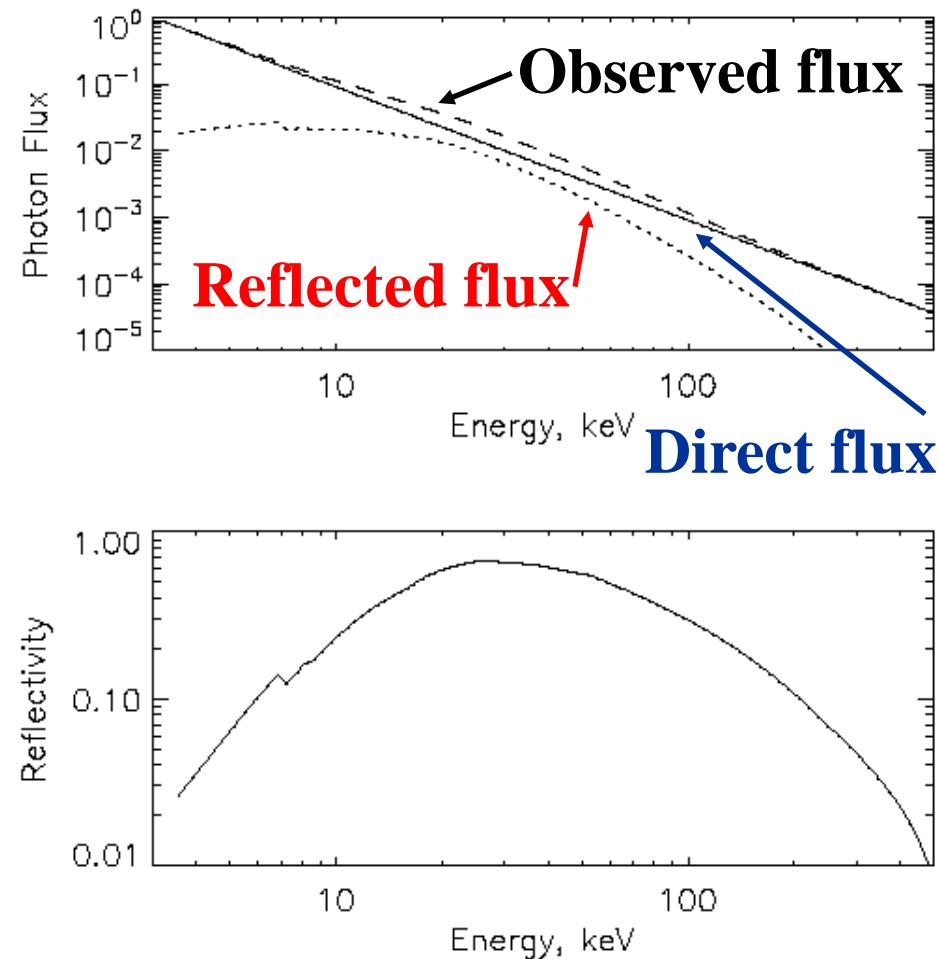
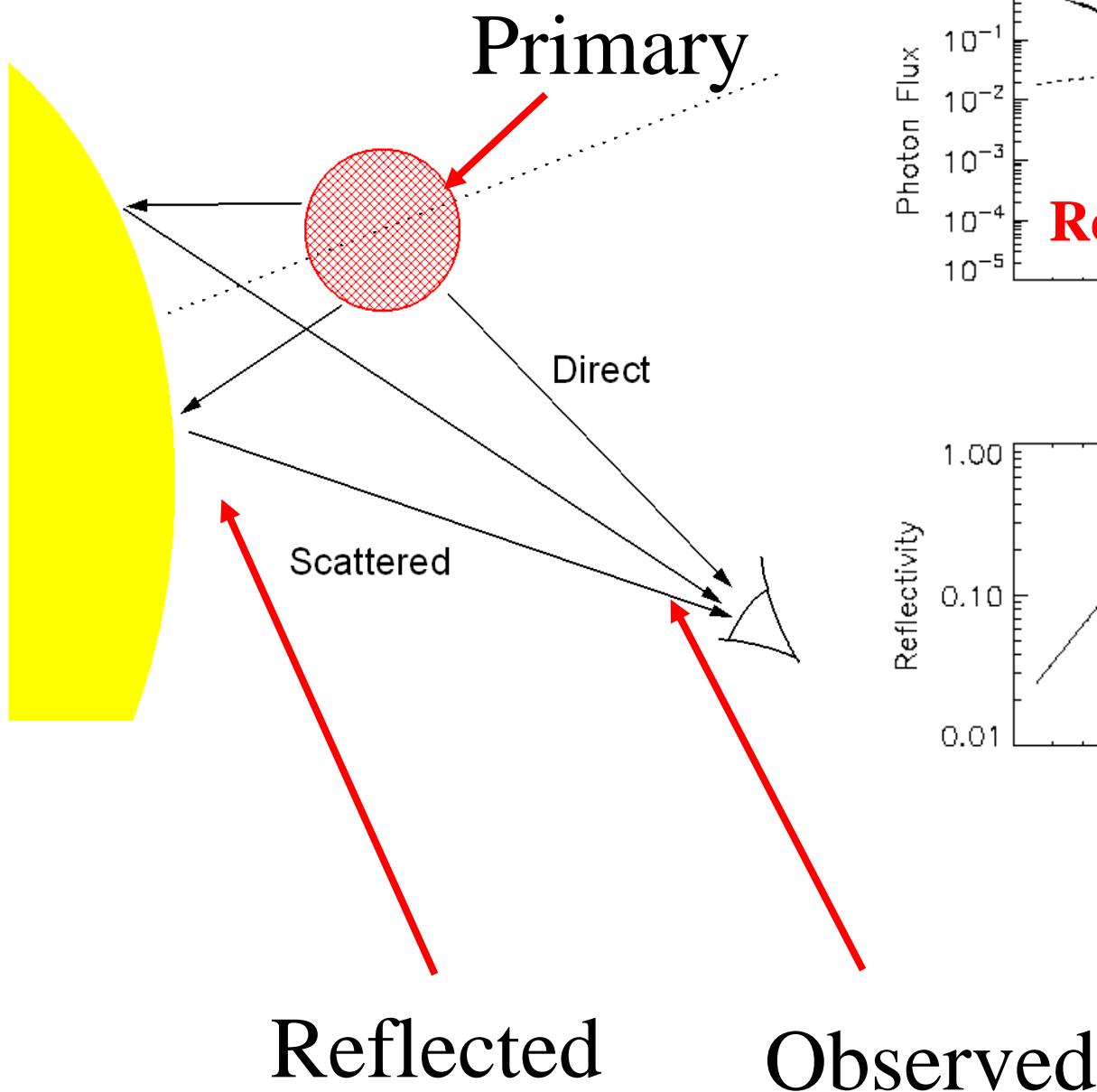


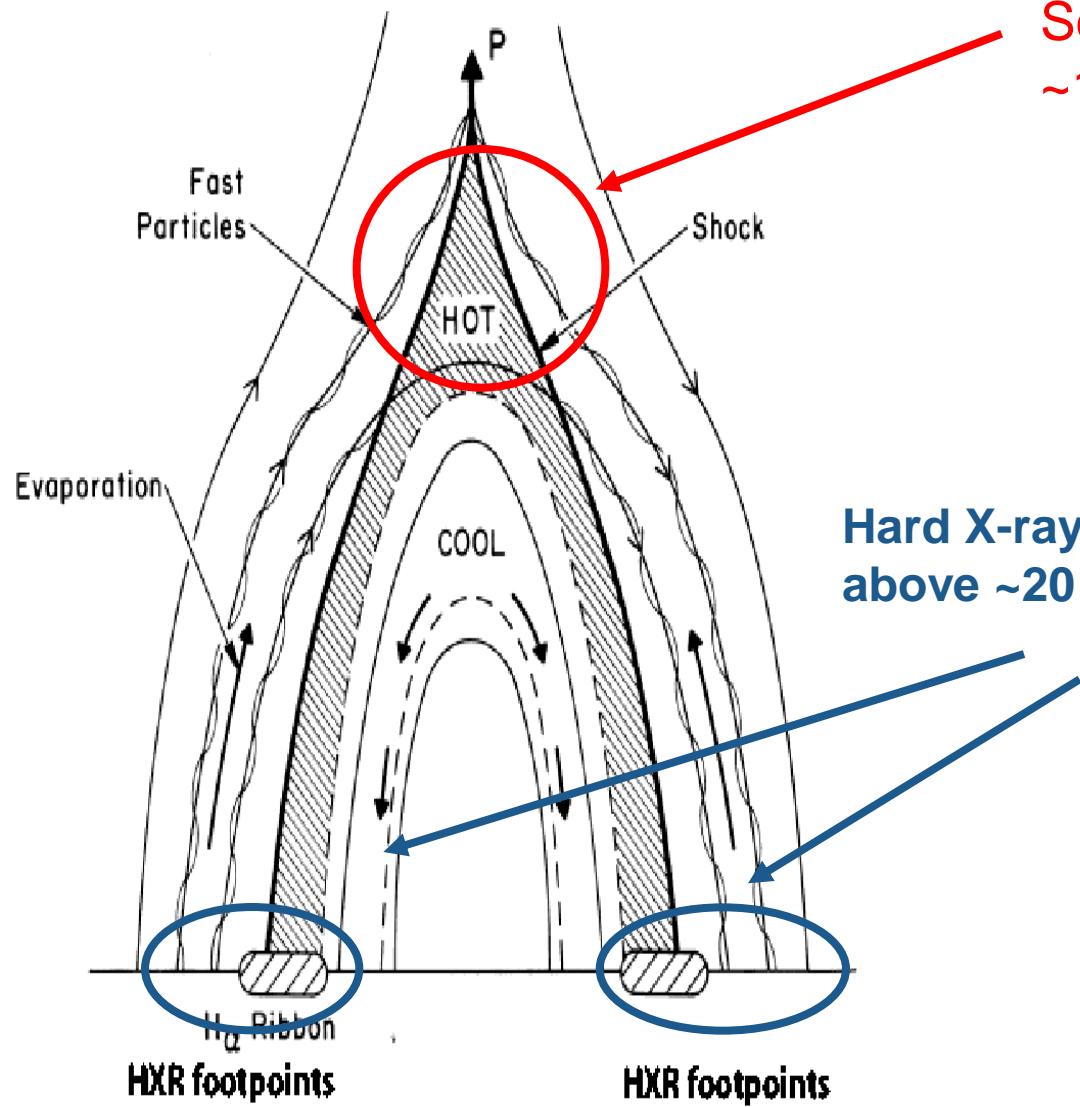
Coronal Source

Soft X-ray coronal source  
HXR chromospheric  
footpoints

Footpoints

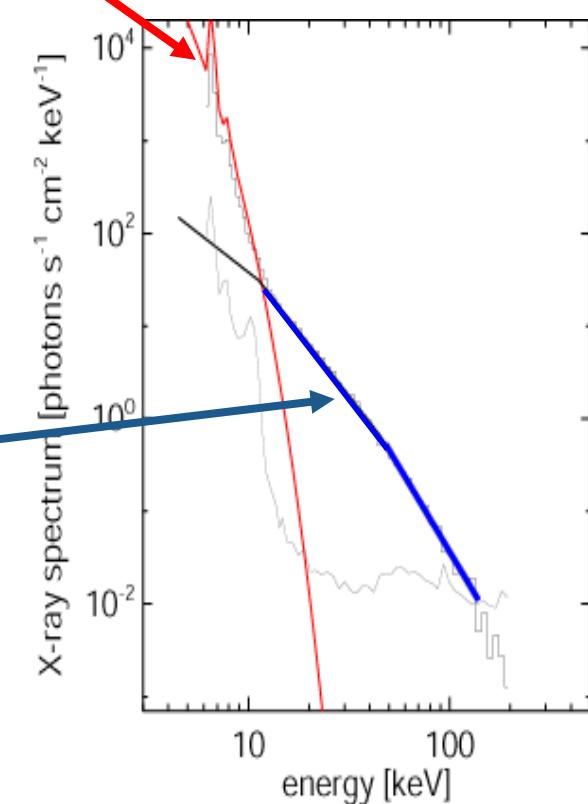






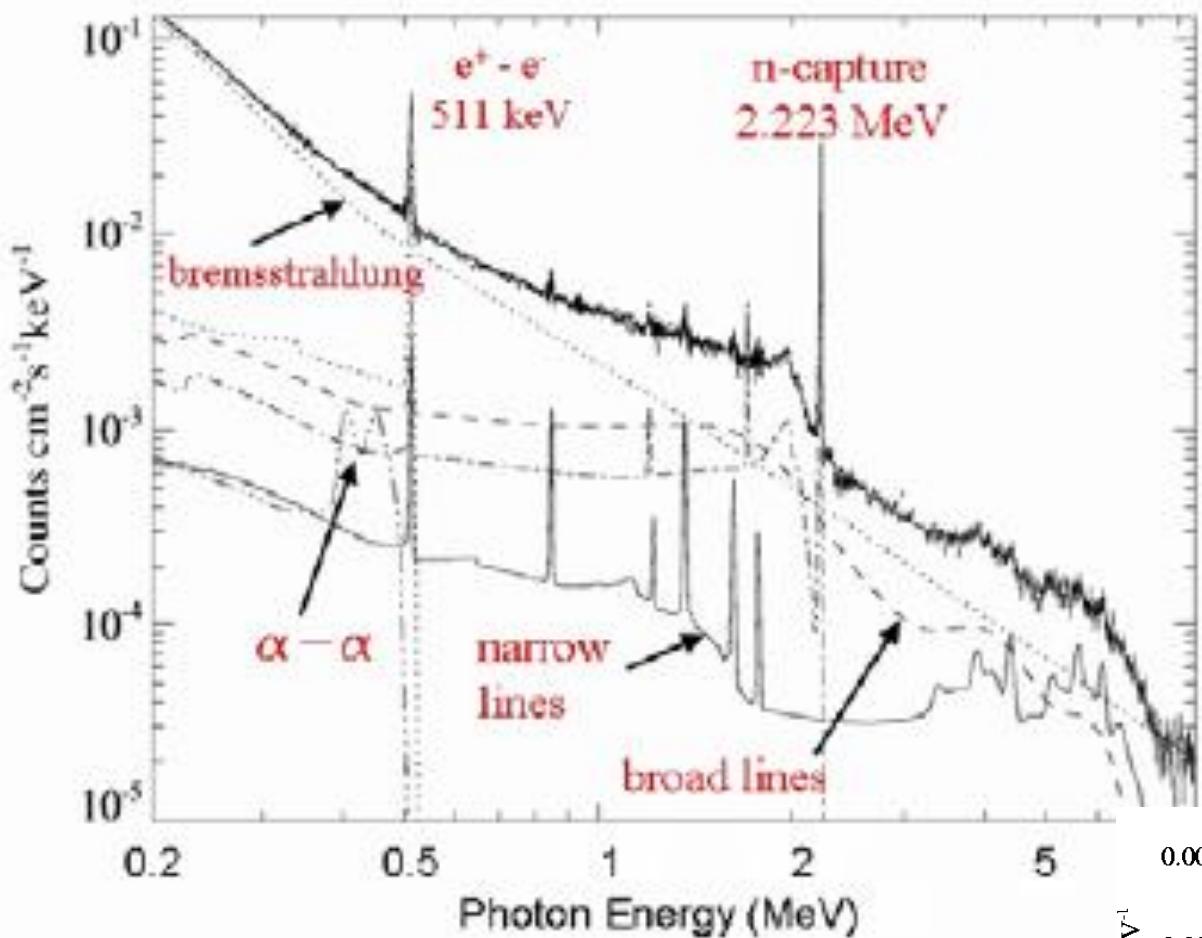
Soft X-ray emission up to  
~10 - 20 keV

Hard X-ray sources  
above ~20 keV



RHESSI spectrum (see  
Hannah Lecture)

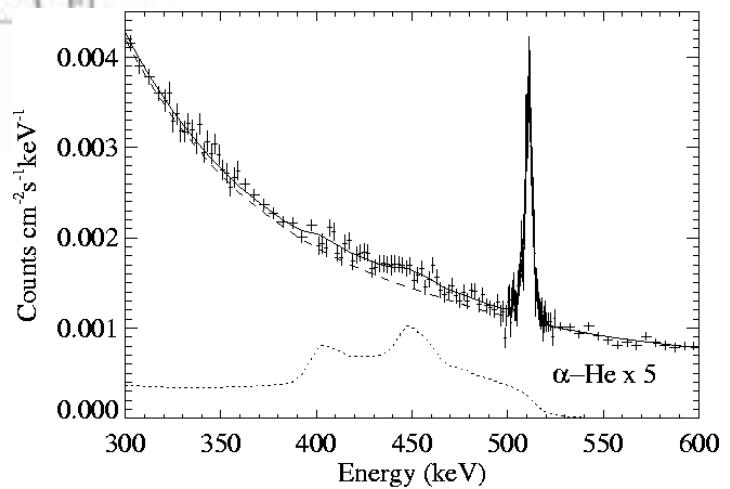
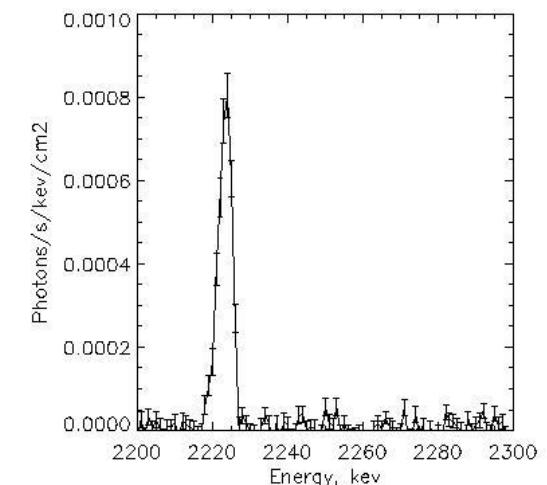
'Standard' flare model picture in 2D (Shibata, 1996)



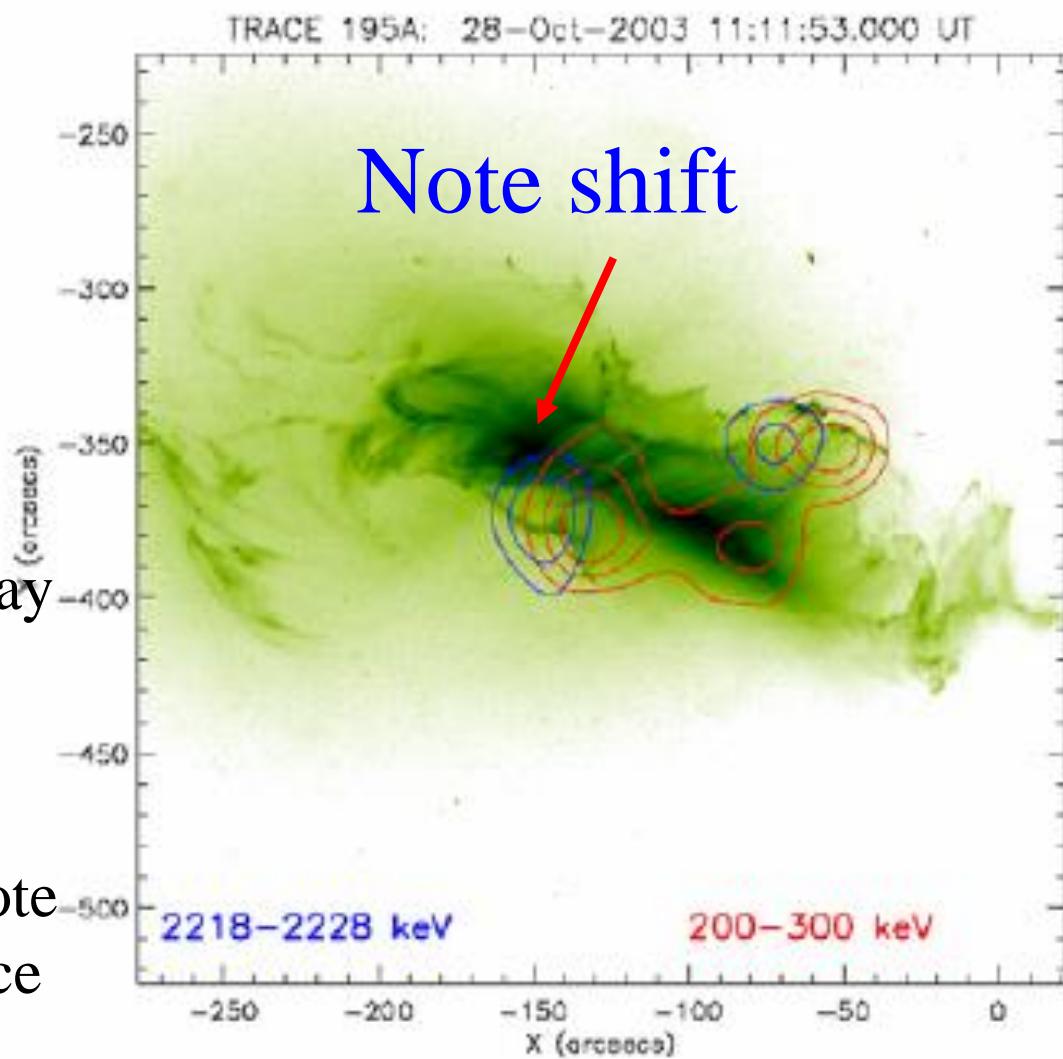
Alpha-alpha lines favours forward **isotropic distribution**

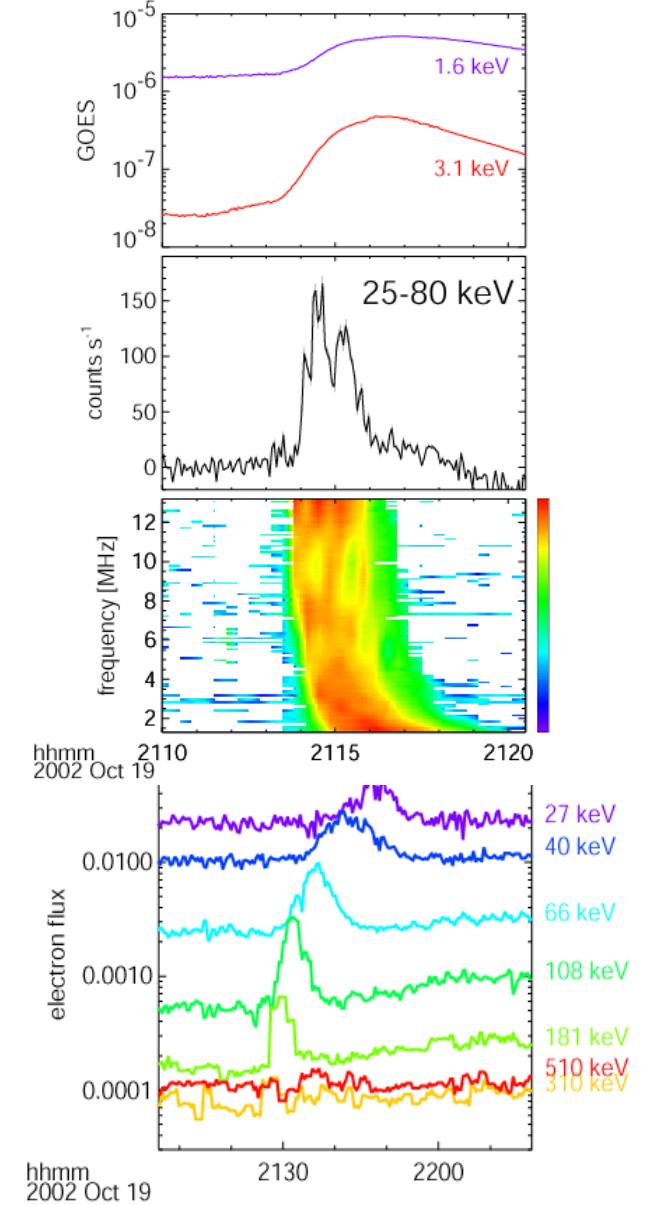
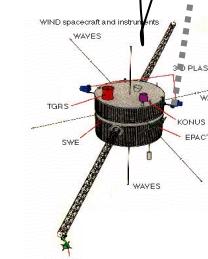
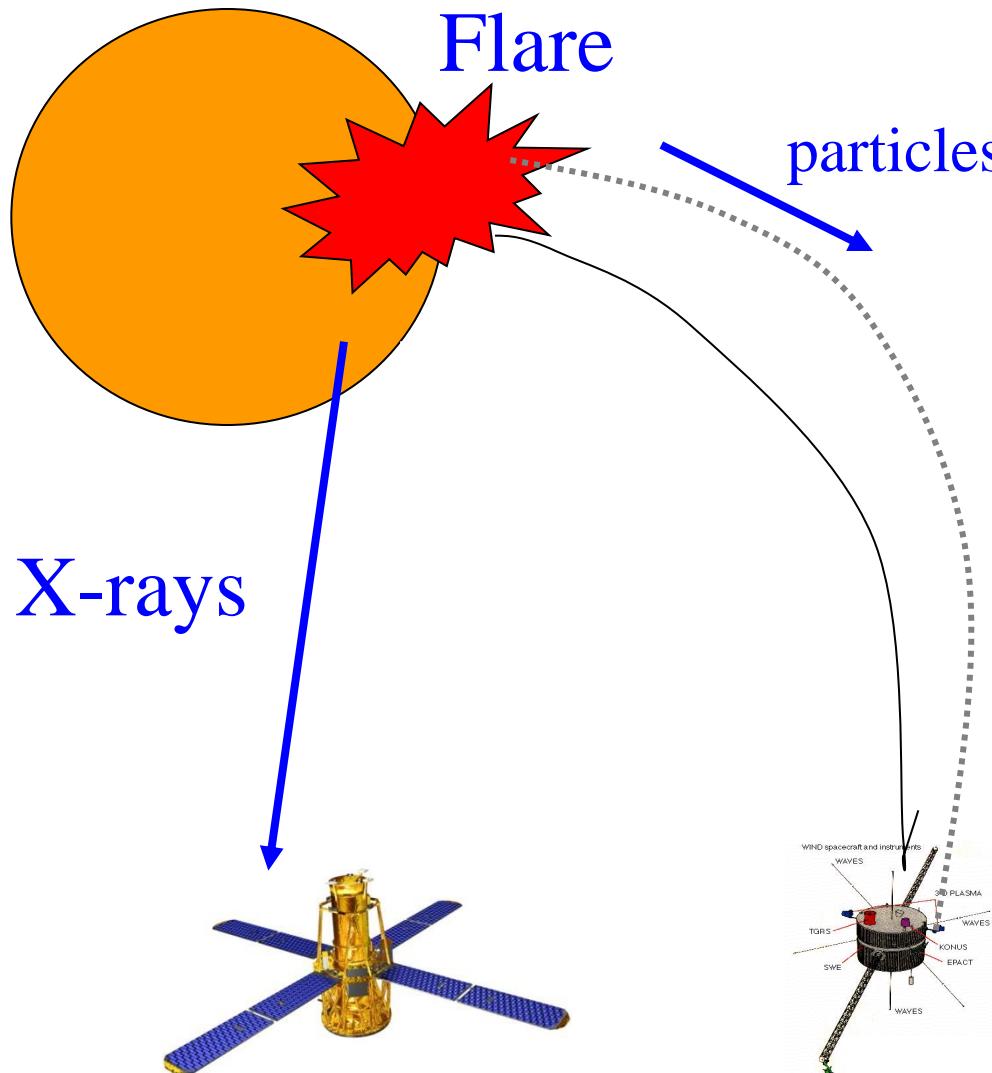
Proton & Alpha power law index is 3.75  
2.2MeV line shows ~100 s delay

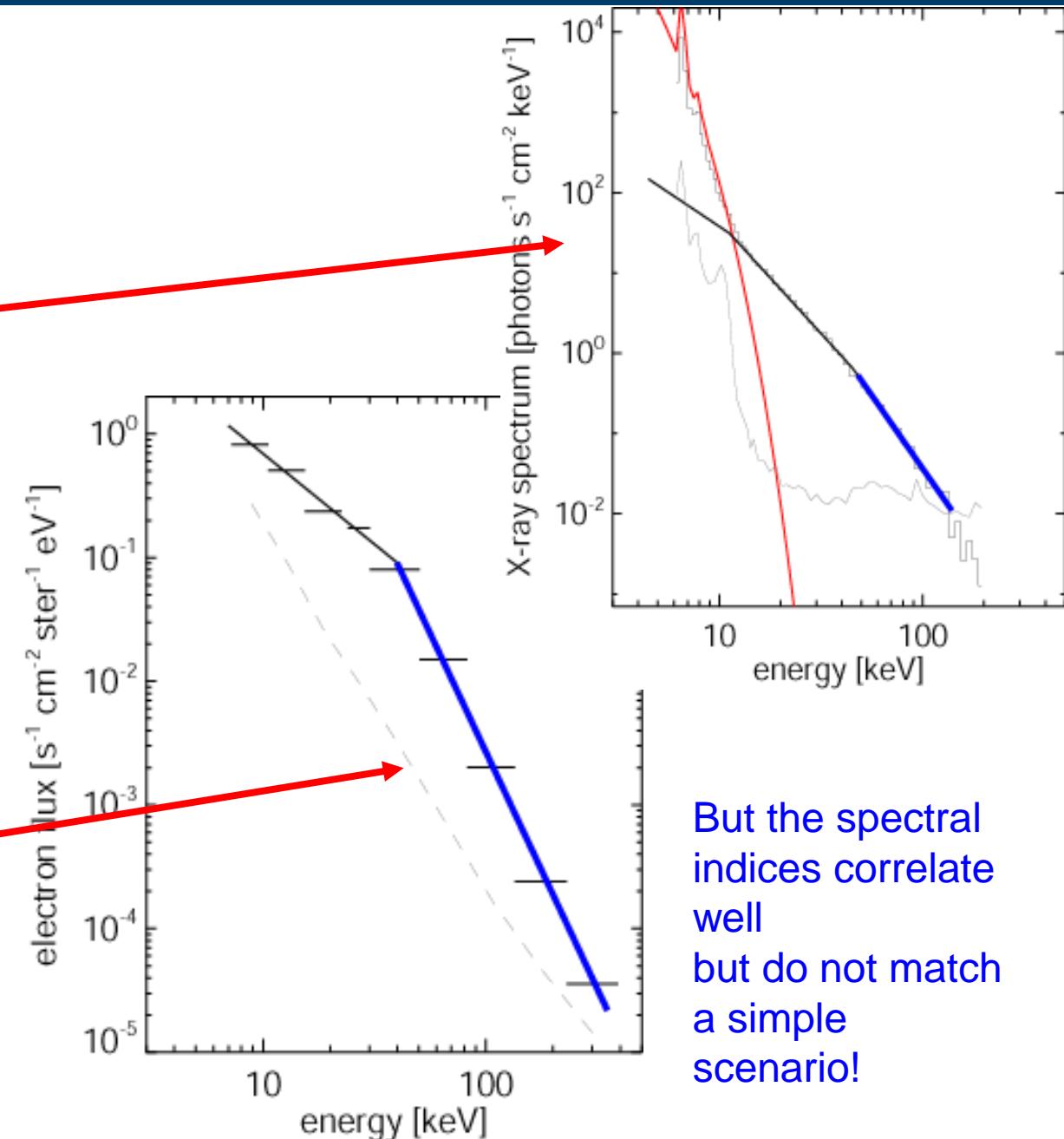
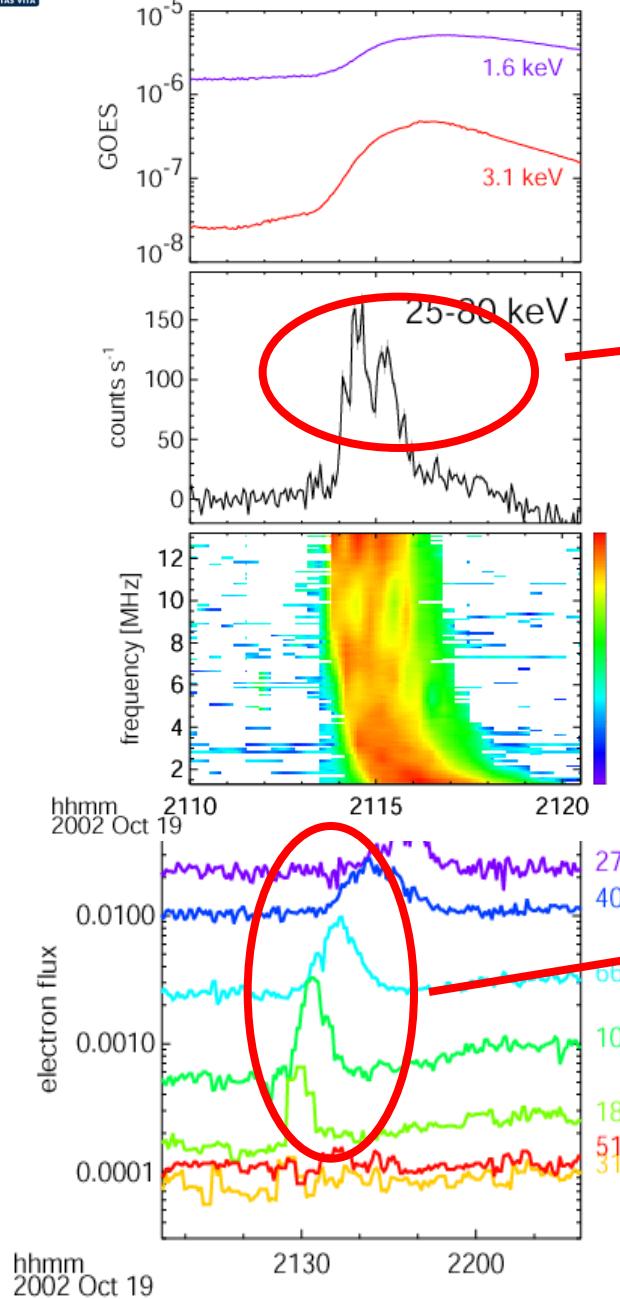
October 28, 2003 X-class flare  
(Share et al, 2004)  
spectrum



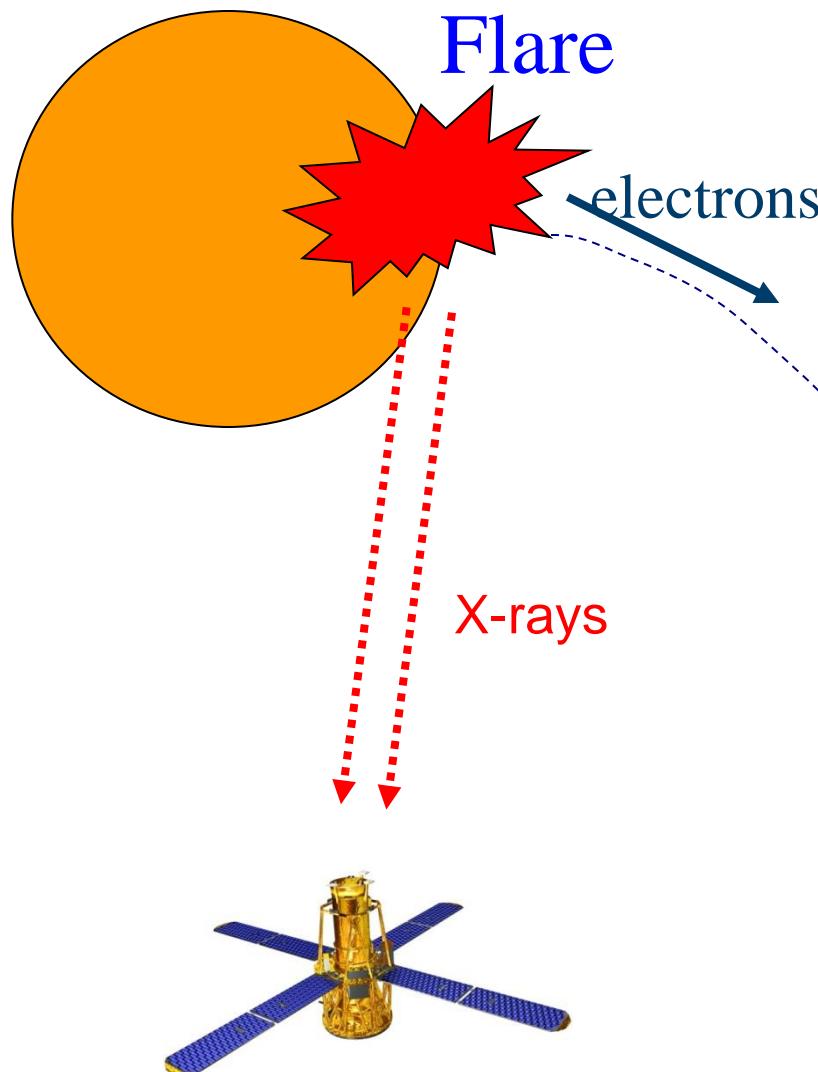
Imaging of the 2.223 MeV neutroncapture line (blue contours) and the HXR electron bremsstrahlung (red contours) of the flare on October 28, 2003. The underlying image is from TRACE at 195 Å. The X-ray and  $\gamma$ -ray imaging shown here used exactly the same selection of detector arrays and imaging procedure. Note the apparent loop-top source in the hard X-ray contours Hurford et al 2006.







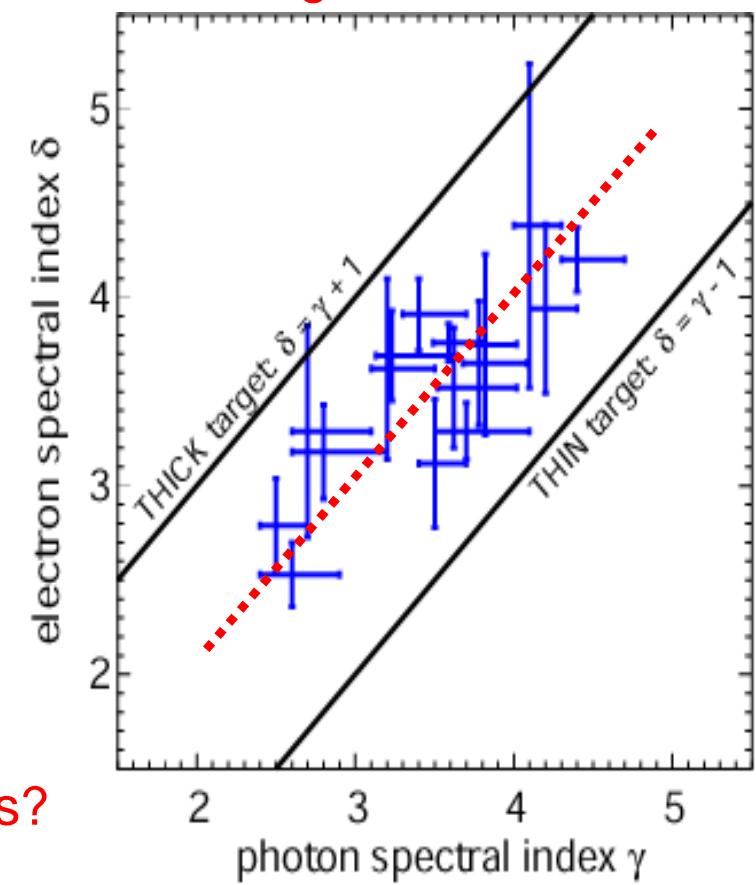
But the spectral indices correlate well but do not match a simple scenario!



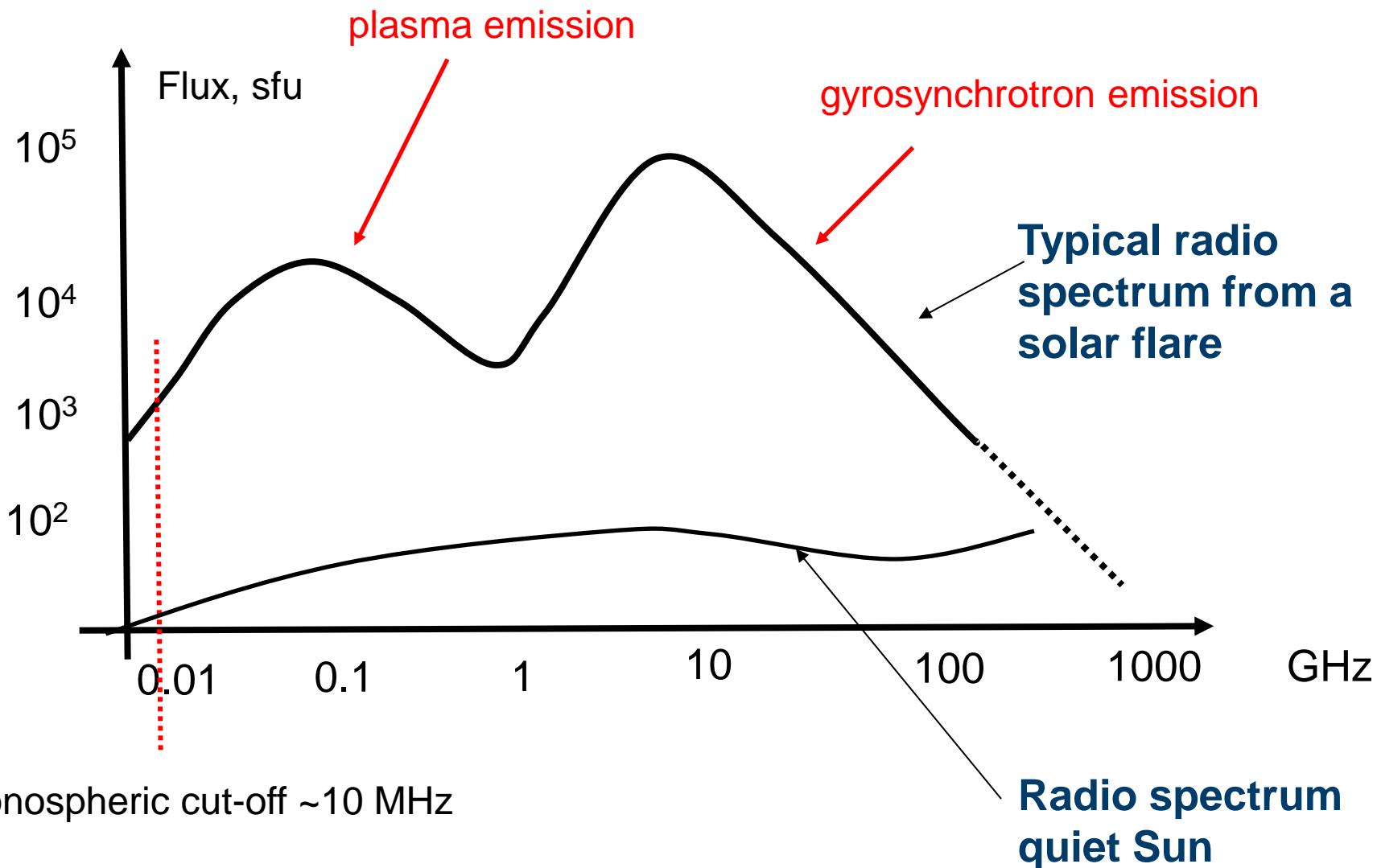
RHESSI

Acceleration or transport effects?

From the analysis of 16 “scatter-free” events (Lin, 1985; Krucker et al, 2007) :  
 Although there is correlation between the total number of electrons at the Sun (thick-target model estimate) the spectral indices do not match either **thick-target or thin-target models**.



# Radio emission – important basics



1 sfu =  $10^4$  Jansky

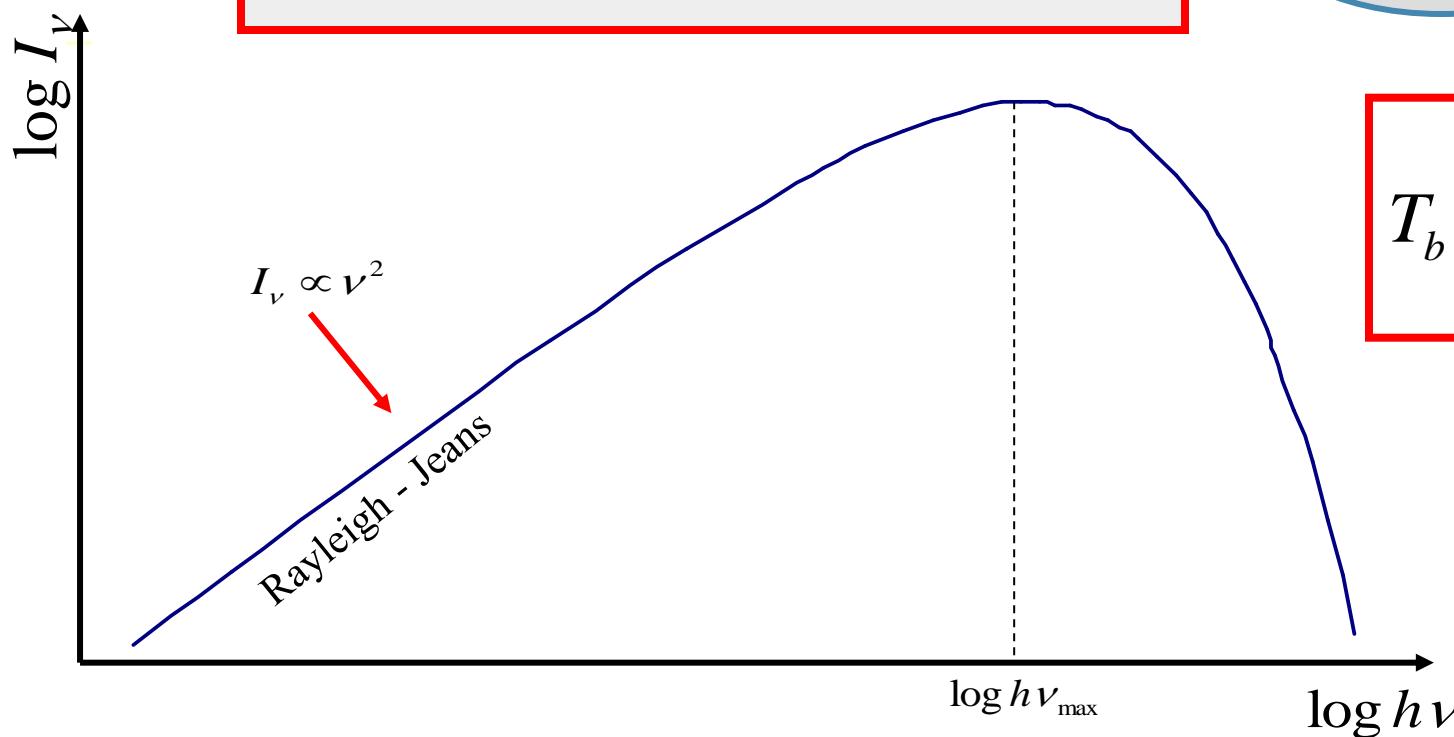
We can always make a definition, common in **radio astronomy**: Brightness temperature

At typical radio frequencies and temperatures  $h\nu \ll kT \Rightarrow \exp\left(\frac{h\nu}{kT}\right) - 1 \approx \frac{h\nu}{kT}$

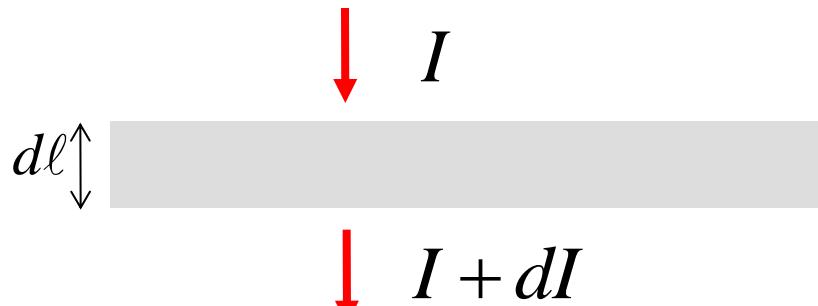
Hence

$$I_\nu = \frac{2h\nu^3}{c^2 \left[ \exp\left(\frac{h\nu}{kT}\right) - 1 \right]} \approx \frac{2\nu^2 kT}{c^2}$$

Rayleigh – Jeans approximation



$$T_b = \frac{c^2 I_\nu}{2\nu^2 k}$$



If we model the absorption in the slab as:

$$dI = -I \kappa d\ell$$

Absorption coefficient, which is not in general constant, but depends on depth and frequency in the atmosphere

$$I_{\text{obs}} = I_0 e^{-\tau}$$

The optical depth, denoted by  $\tau$ , so that

- If  $\tau = 0$  we describe the atmosphere as “transparent” and  $I_{\text{obs}} = I_0$
- If  $\tau \ll 1$  we describe the atmosphere as “optically thin” and  $I_{\text{obs}} \approx I_0$
- If  $\tau \geq 1$  we describe the atmosphere as “optically thick” and  $I_{\text{obs}} \ll I_0$

For example, free-free absorption coefficient (Dulk, 1985):

$$\kappa(\nu) = 0.2 n_e^2 T^{-\frac{3}{2}} \nu^{-2} (\text{cm}^{-1})$$

# Radio emission mechanisms

**Free-free emission** (collisions of electrons with protons and other particles)

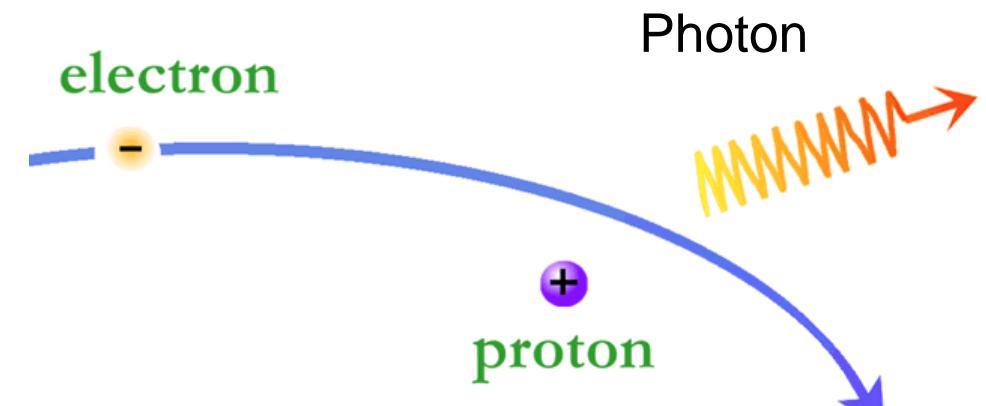
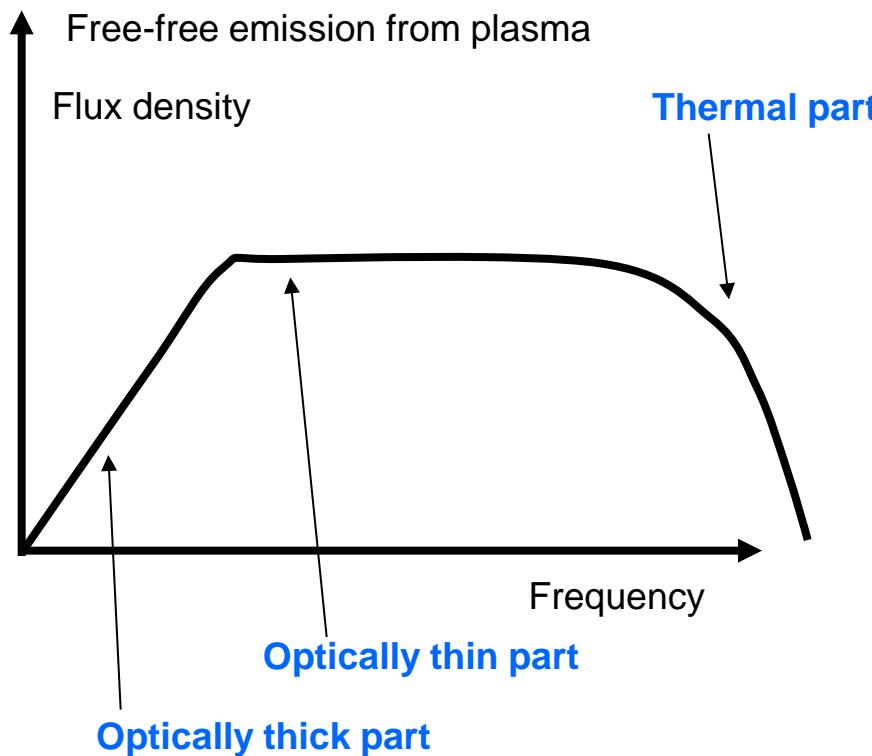
**Gyromagnetic emission** (*cyclotron and gyrosynchrotron*)

**Coherent emission** due to wave-wave and wave-particle interaction

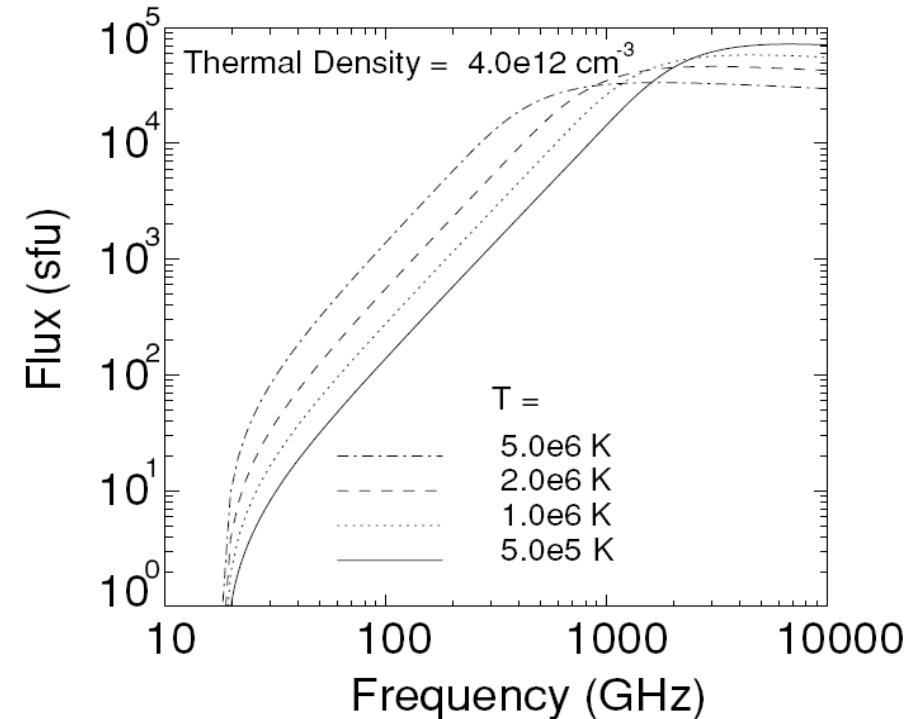
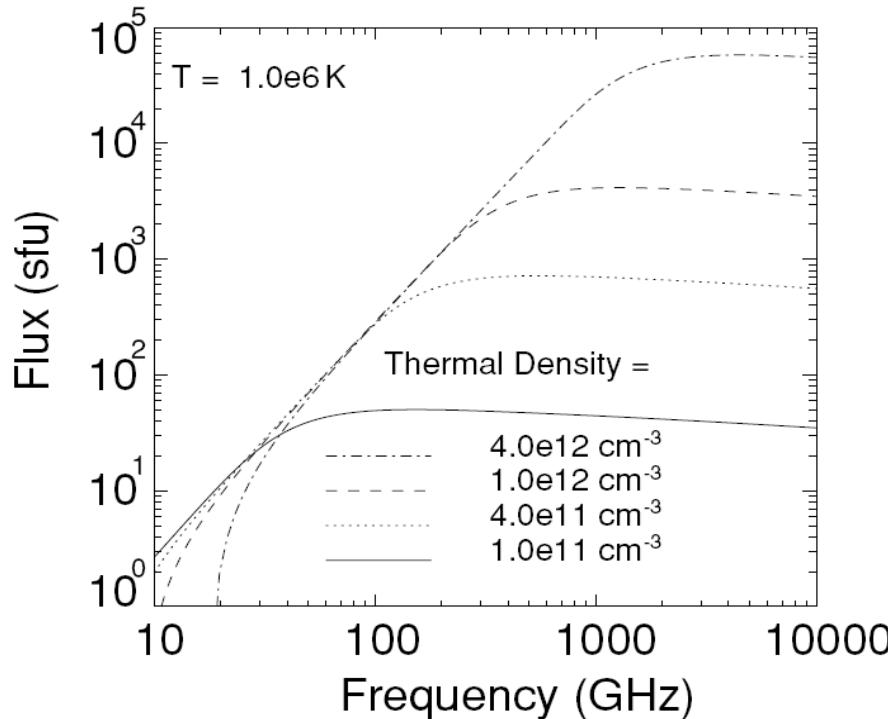
$$\nu_B = \frac{eB}{2\pi m_e c}, \quad \text{=> gyrofrequency}$$

$$\nu_p = \sqrt{\frac{n_e e^2}{\pi m_e}}, \quad \text{=> plasma frequency}$$

Photons are produced by **free-free transitions** of electrons - also known as **Bremsstrahlung ('braking radiation')**



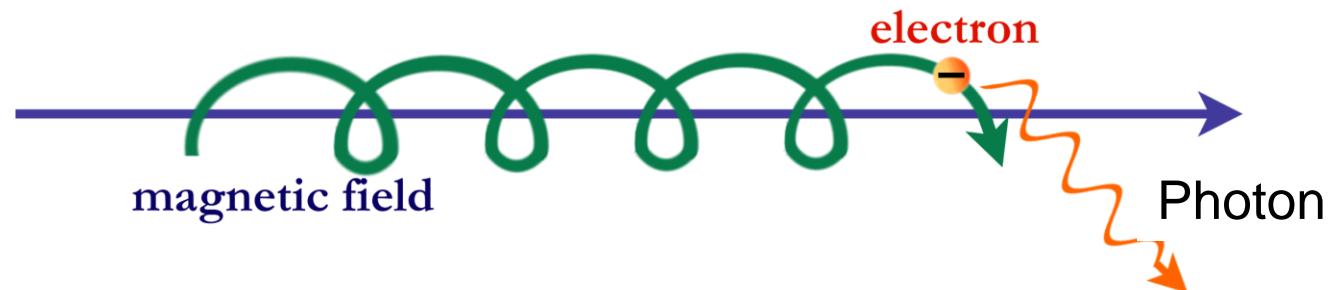
*A rising spectrum from a compact (20") source* requires that the source is relatively **dense** ( $n_e \sim 10^{11} \text{ cm}^{-3}$ ) and **hot** ( $T_e \sim 10 \text{ MK}$ ).



**Thermal free-free radio spectra** produced from a uniform cubic source with a linear size of 20" for  $n_e = 10^{11}$  to  $4 \times 10^{12} \text{ cm}^{-3}$  and  $T_e = 0.5\text{--}5 \text{ MK}$ .

## Cyclotron Radiation

Any constant velocity component parallel to the magnetic field line leaves the radiation unaffected (no change in *acceleration*), and electron spirals around the field line.

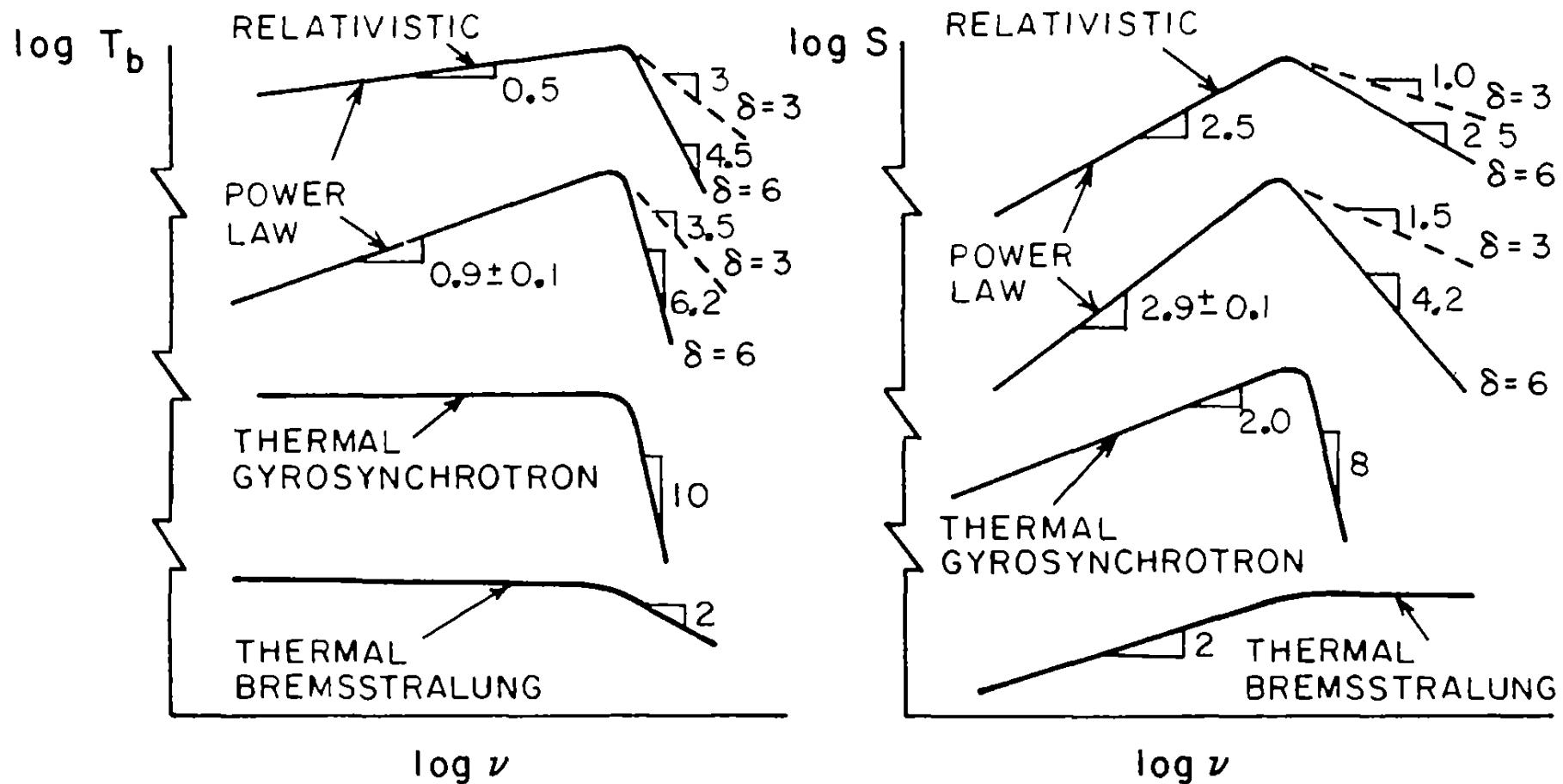


Electron cyclotron line has frequency

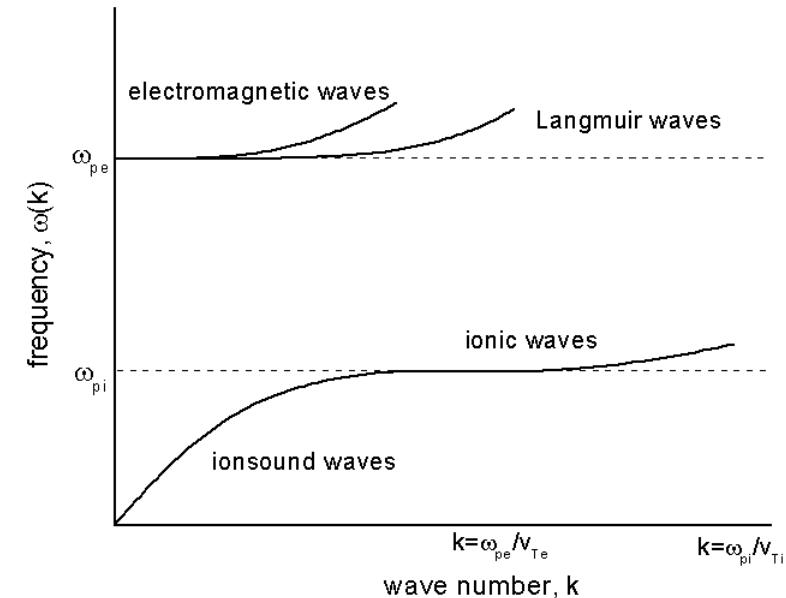
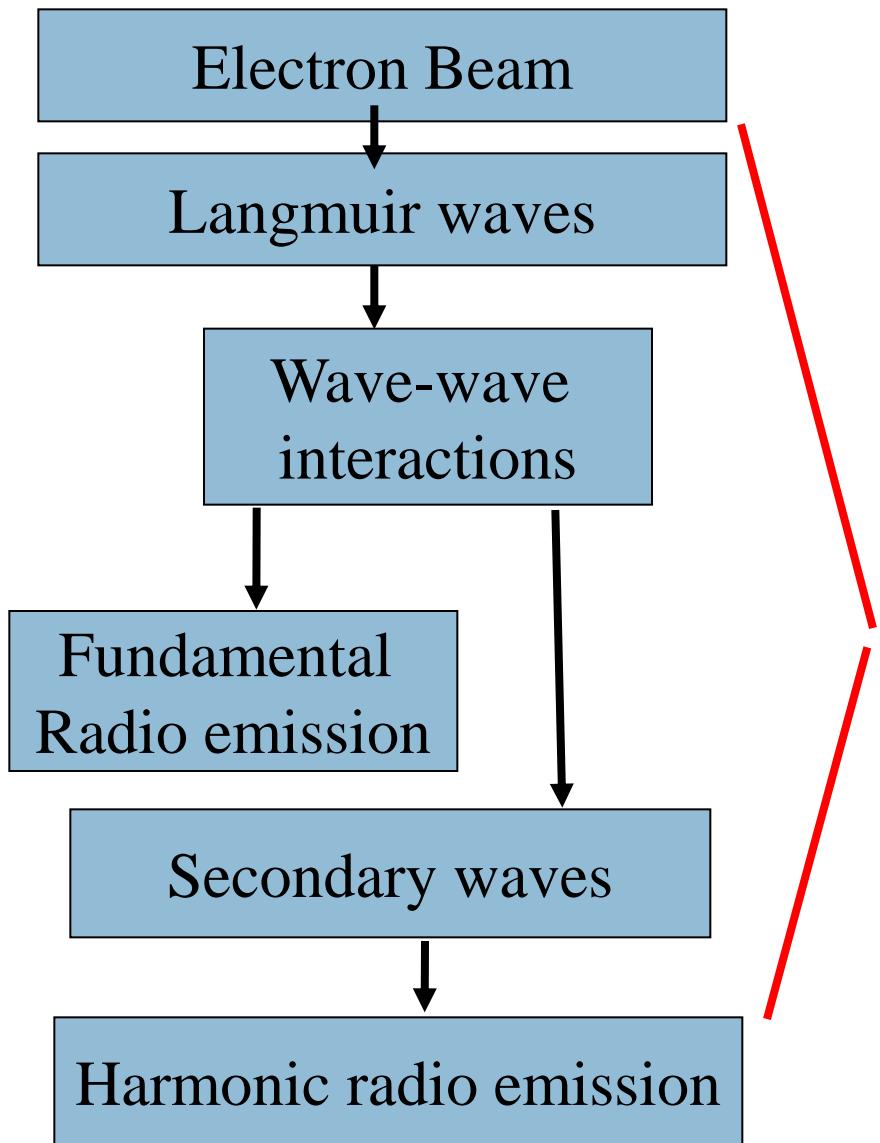
$$\nu_B = \Omega_e / 2\pi = eB / 2\pi m_e c \approx 2.8 \times 10^6 B.$$

In ultra-relativistic limit, this radiation is known as **synchrotron** – it is strongly Doppler shifted and forward beamed due to relativistic aberration.

In mildly or sub relativistic limit, this radiation is known as **Gyrosynchrotron**



Brightness Temperature and Flux density as a function of frequency for various emission mechanisms ([Dulk, 1985](#))



**Coherent emission** due to wave-wave and wave-particle interaction

$$\nu_p = \omega_p / 2\pi = [n_e e^2 / \pi m_e]^{1/2} \approx 9000 n_e^{1/2}$$

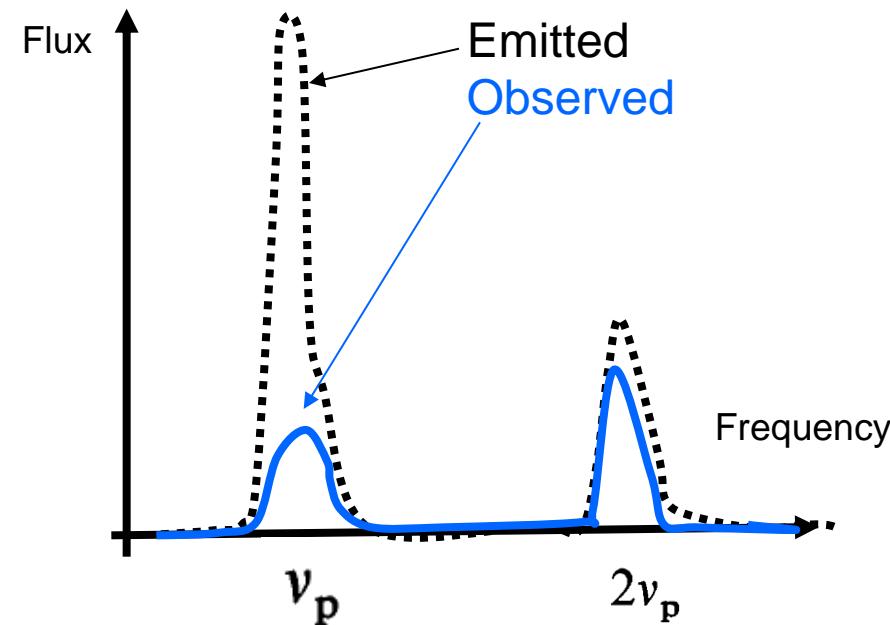
plasma frequency

## Fundamental radio emission (at local plasma frequency)

- 1) Ion-sound decay  $L=T+S$
- 2) Scattering off ions  $L+i=T+i$

## Harmonic radio emission (double plasma frequency)

- 1) Decay and coalescence  
 $L = L' + S, L + L' = T$
- 2) Scattering and coalescence  
 $L + i = L + i', L + L' = T$

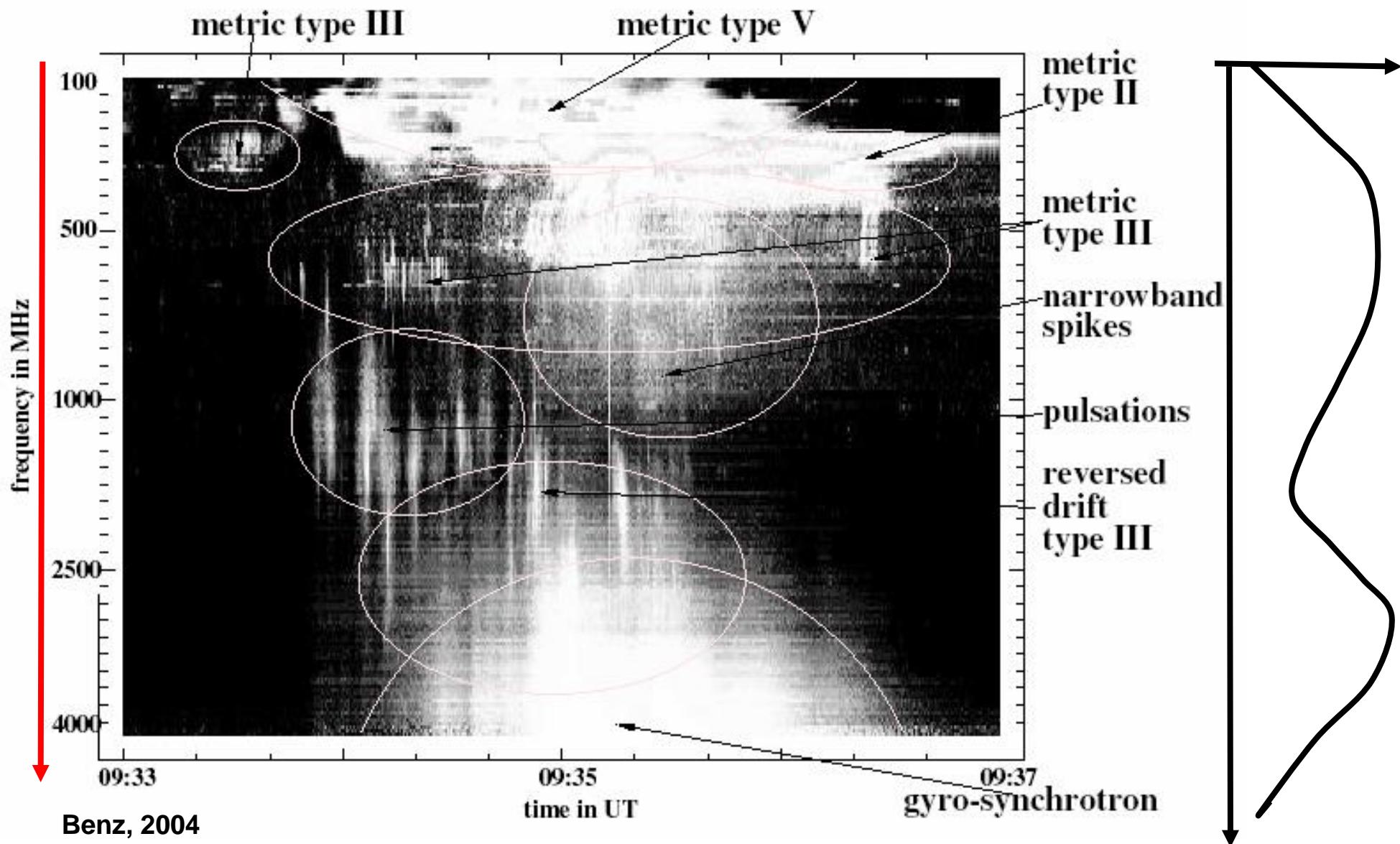


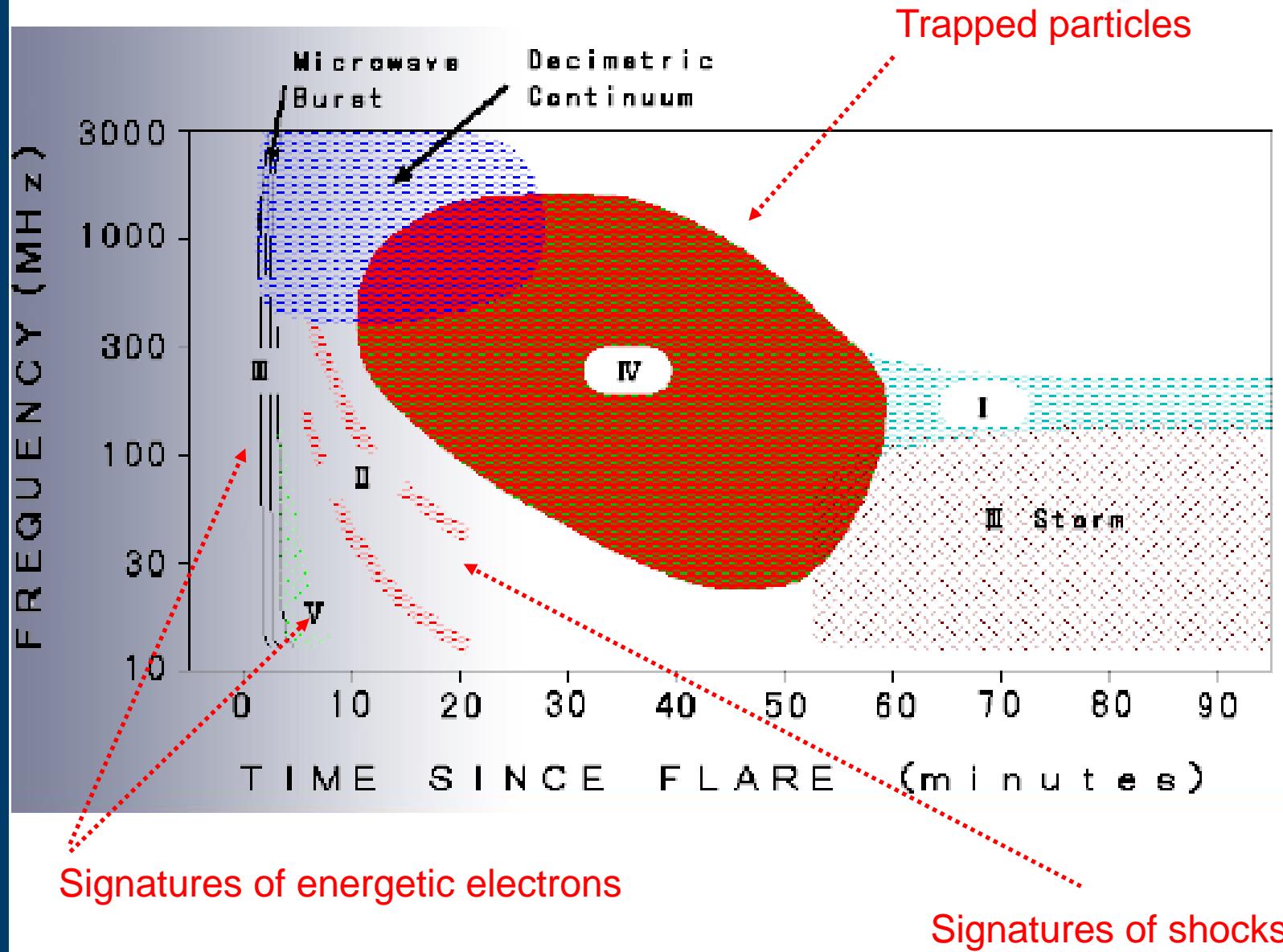
For each act of decay or coalescence we have the corresponding conservation laws for momentum and energy require:

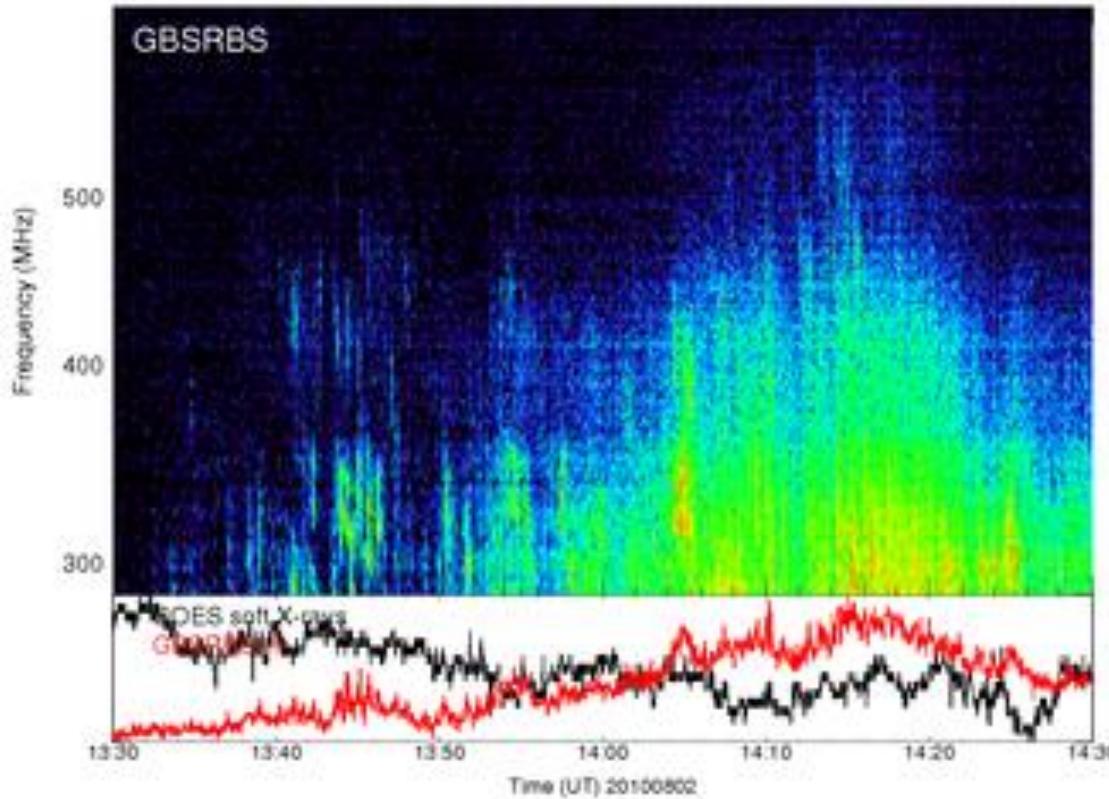
$$\mathbf{k}' = \mathbf{k}'' + \mathbf{k}, \quad \omega(\mathbf{k})_{\sigma'} = \omega(\mathbf{k})_{\sigma''} + \omega(\mathbf{k})_{\sigma}$$

# Radio emission from active Sun

A typical dynamic spectrum of an active Sun

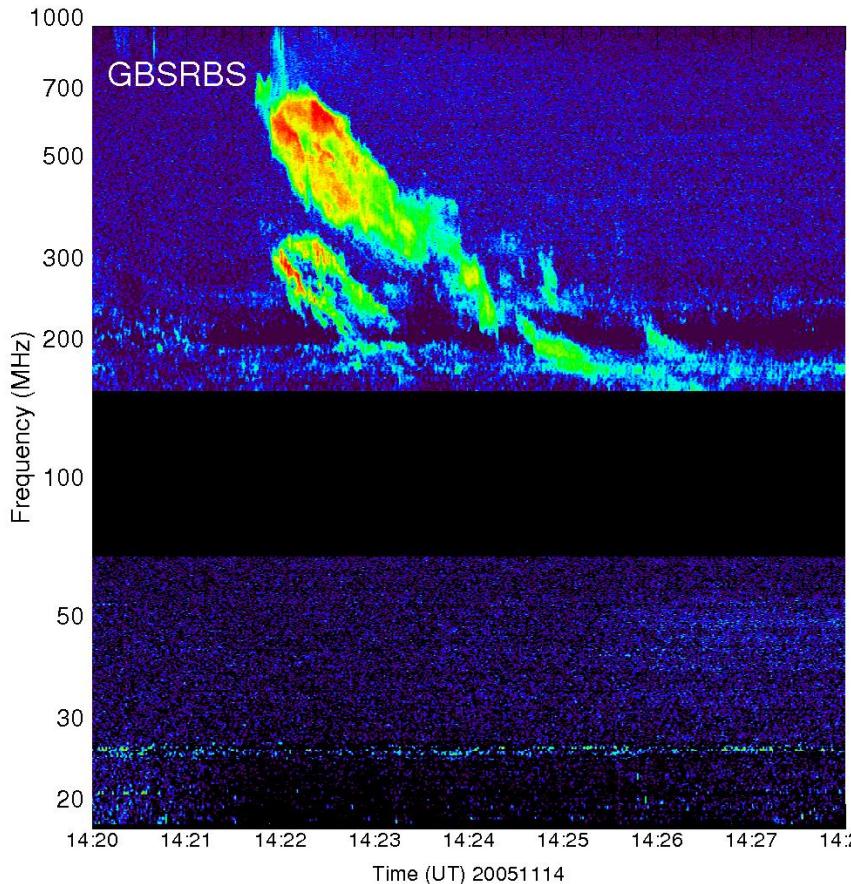






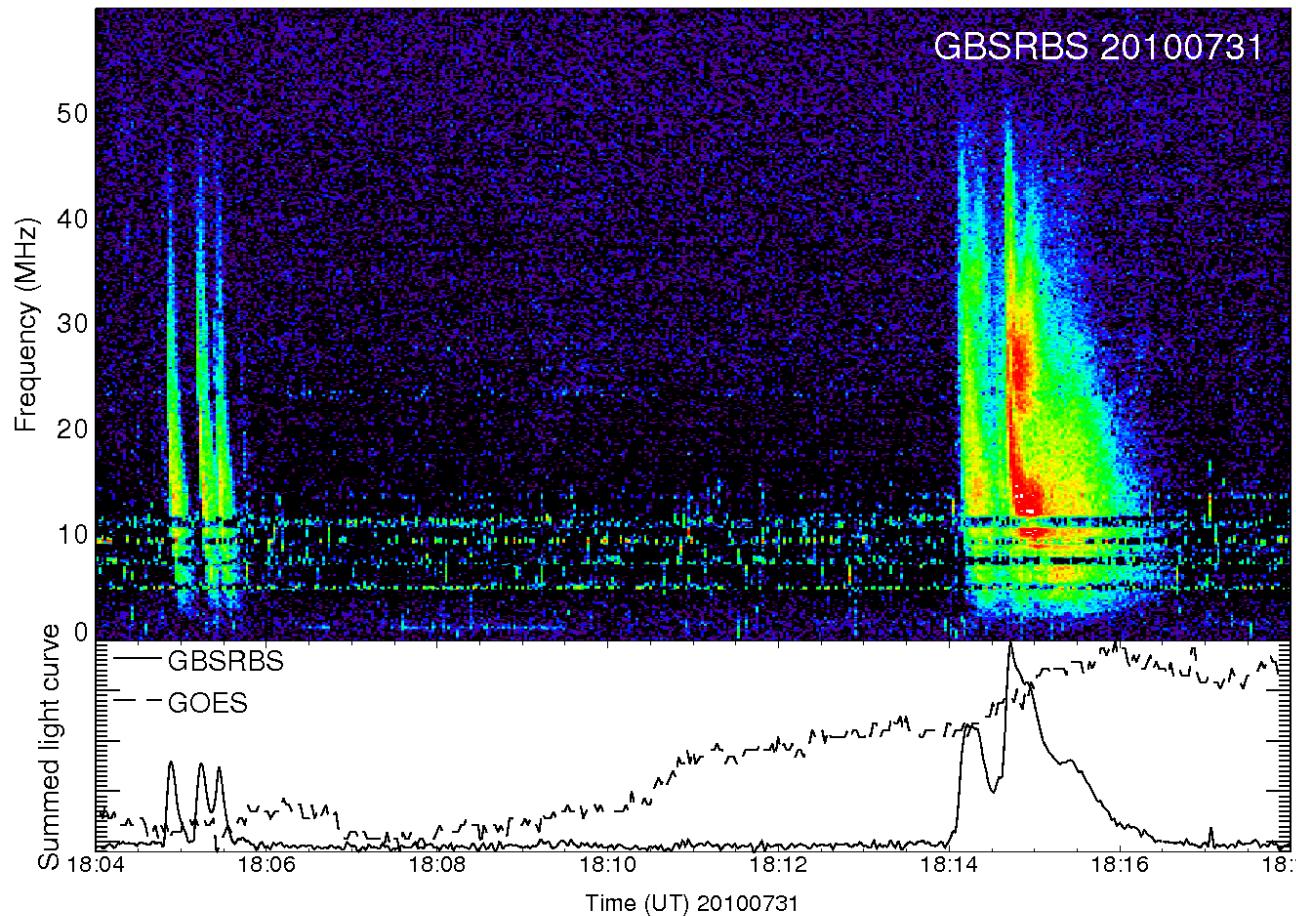
**Emission mechanism:** plasma emission

**Exciter:** hot plasma with non-thermal tail?



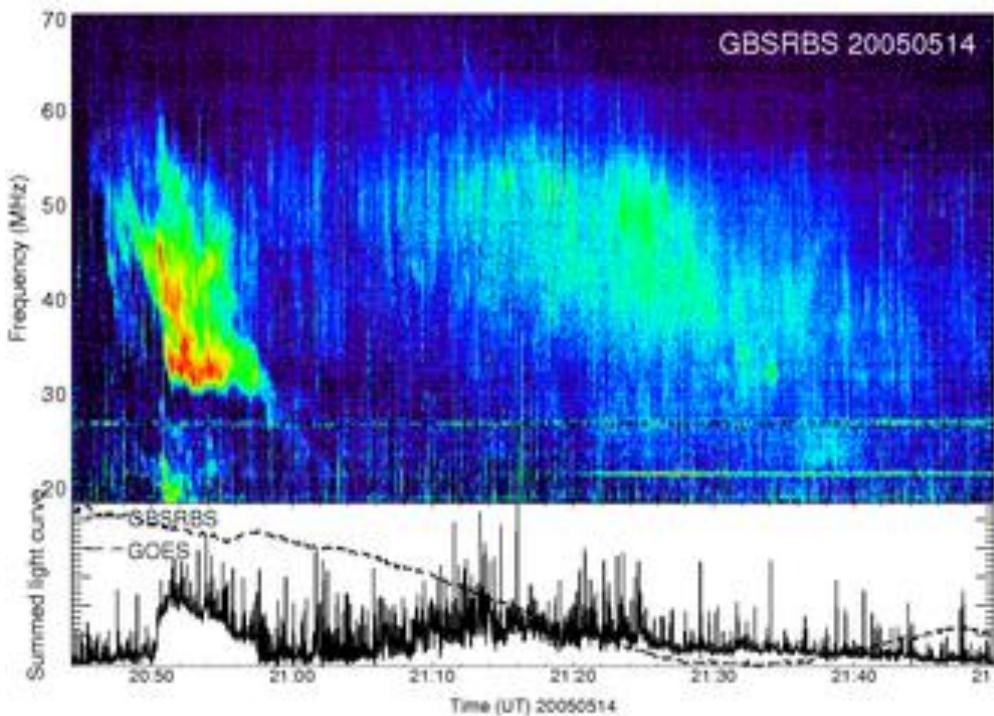
**Emission mechanism:**  
plasma emission

**Exciter:** shock waves



**Emission mechanism:** plasma emission

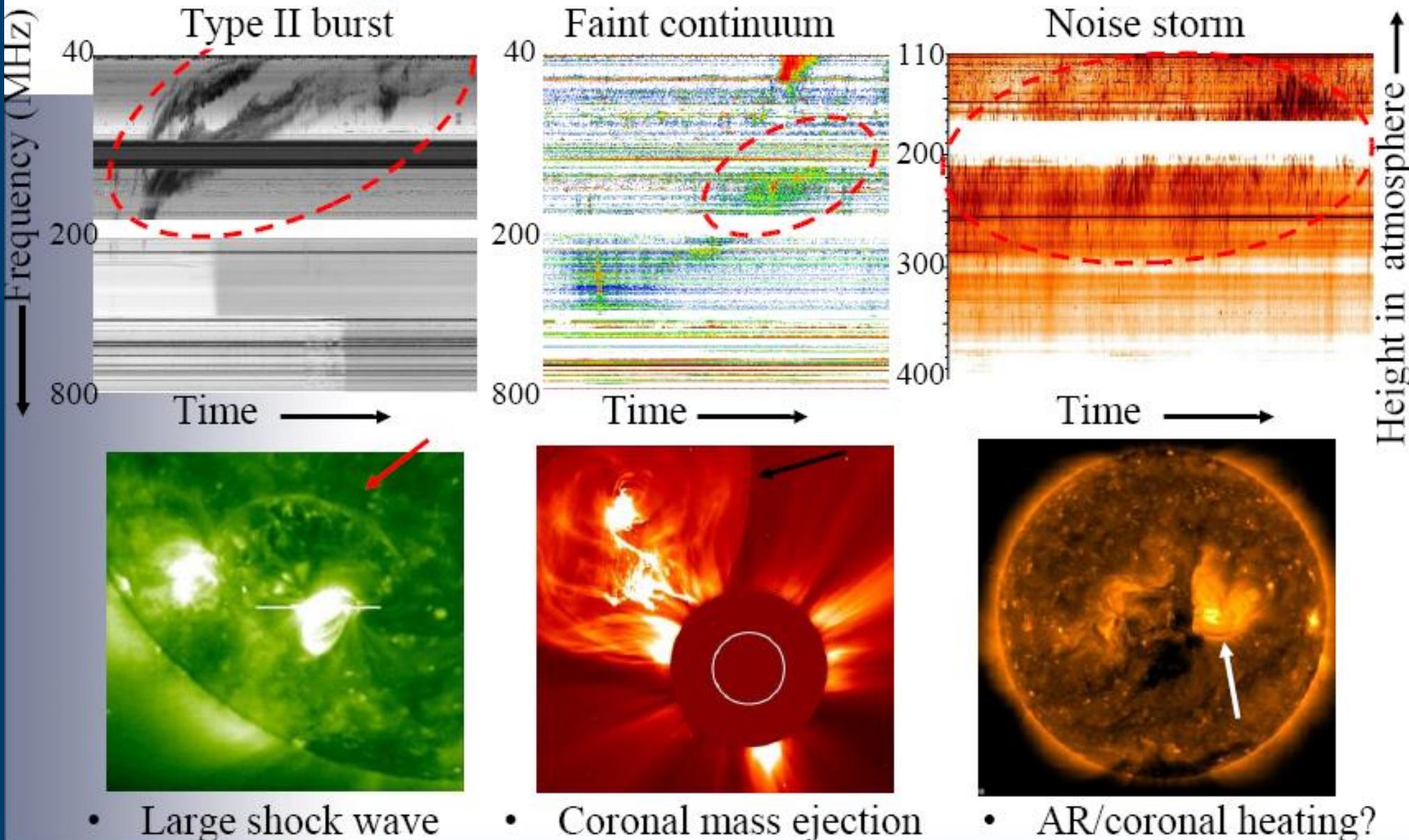
**Exciter:** energetic electron beams

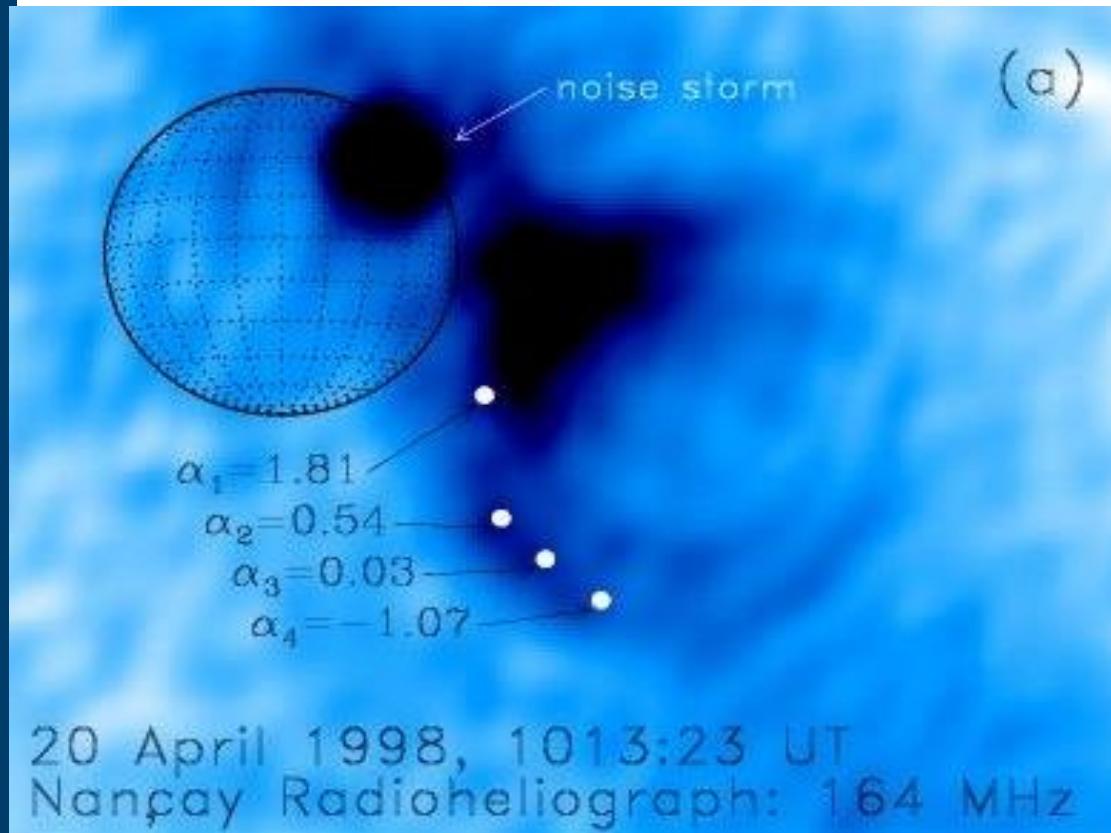


**Emission mechanism:**  
plasma emission

**Exciter:** trapped particles and  
wave particle interaction with  
MHD waves?

# What can we learn from radio emission?





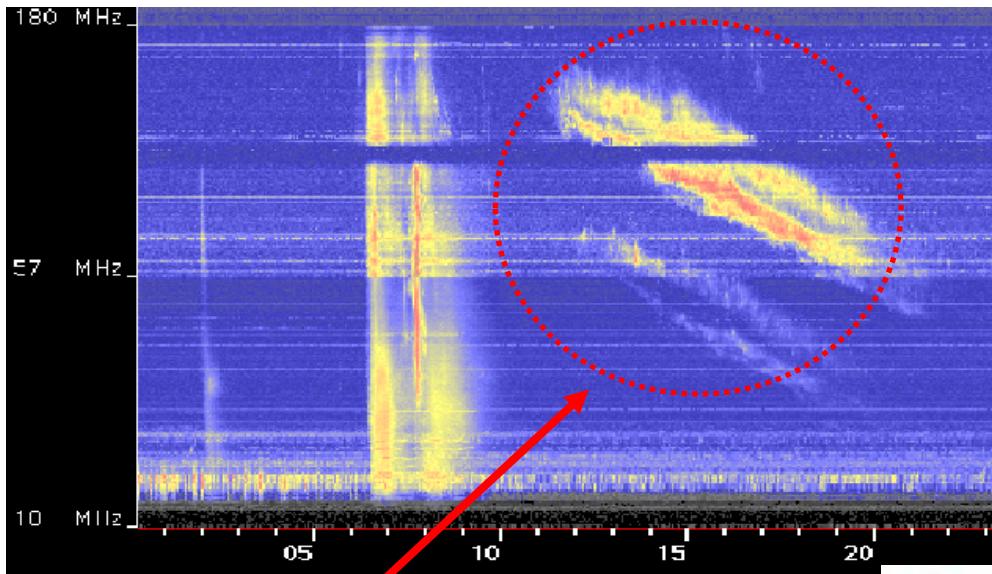
Radio emission is gyrosynchrotron from electrons trapped in weak-field structures:

- electron energy distribution
- magnetic field strength/direction
- dynamic evolution of coronal structures

Image of a CME at 164MHz using the Nancay Radioheliograph (Bastian et al. 2001)

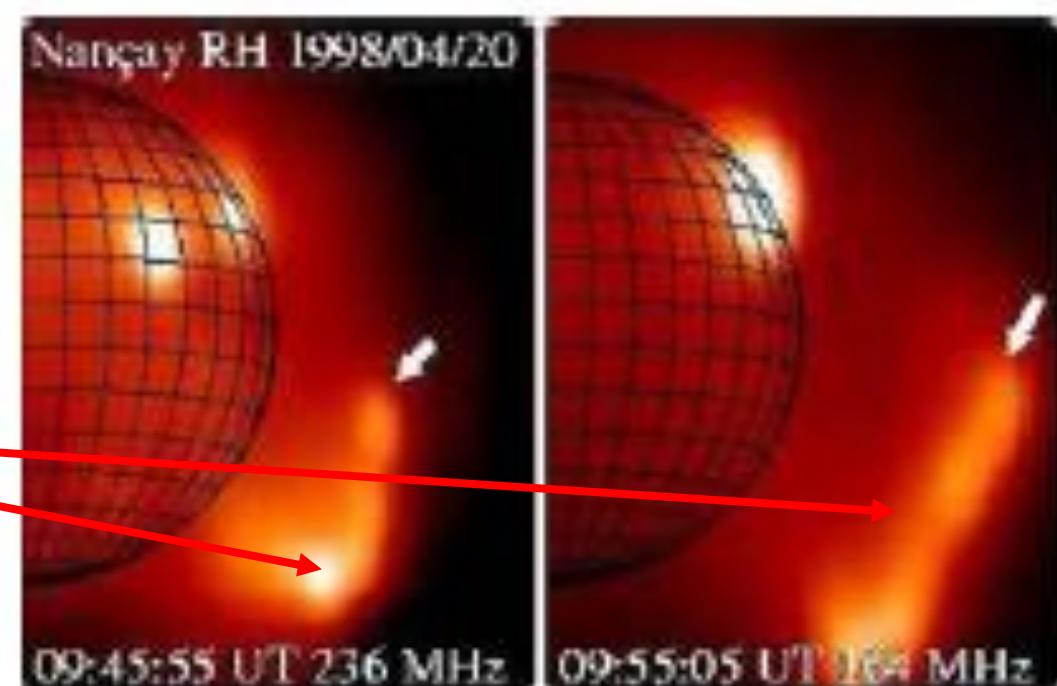
### Key questions:

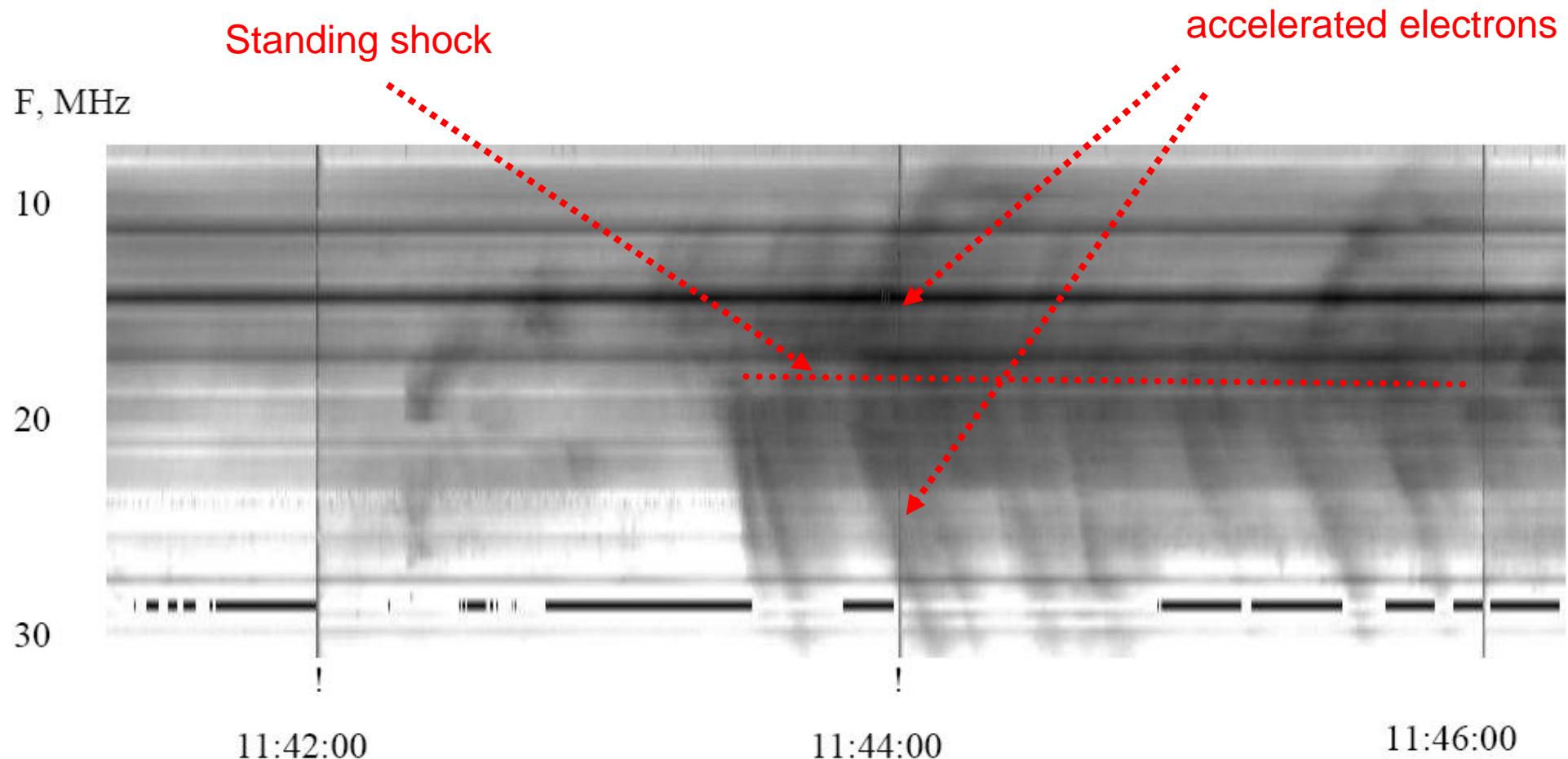
- What is CME/flare relationship?
- How do they develop and evolve into interplanetary disturbances?
- What are their effects on the surrounding solar/heliospheric plasma?



Type II radio burst → prime diagnostic of outward-moving coronal shock waves

Formation and propagation of the shocks and CMEs



**Type II with herring-bone structure: acceleration of electrons by shocks**

# How are energetic particles produced?

For a single particle, the equation of motion (SI units)

$$m \frac{d\mathbf{v}}{dt} = q\mathbf{E} + q\mathbf{v} \times \mathbf{B}$$

The change of kinetic energy

$$m\mathbf{v} \frac{d\mathbf{v}}{dt} = \frac{d}{dt} \left( \frac{mv^2}{2} \right) = q\mathbf{v}\mathbf{E}$$

hence to have energy gain, we need  $q\mathbf{v}\mathbf{E} > 0$

Although the energy gain does not depend on  $\mathbf{B}$  explicitly, it enters as an important parameter via  $\mathbf{v}(t, r)$  – the evolution of the velocity in space and time.

Let us consider electron in collisional plasma. For simplicity, we consider fields parallel to electron velocity:

$$m_e \frac{dv}{dt} = eE - v_c m_e v \quad \text{where}$$

$$v_c = \frac{e^4 n_e \ln \Lambda}{2\pi \epsilon_0^2 m_e^2 v^3} = \frac{e^4 n_e \ln \Lambda}{2\pi \epsilon_0^2 m_e^2 (3kT)^{\frac{3}{2}}}$$

is a collisional frequency.

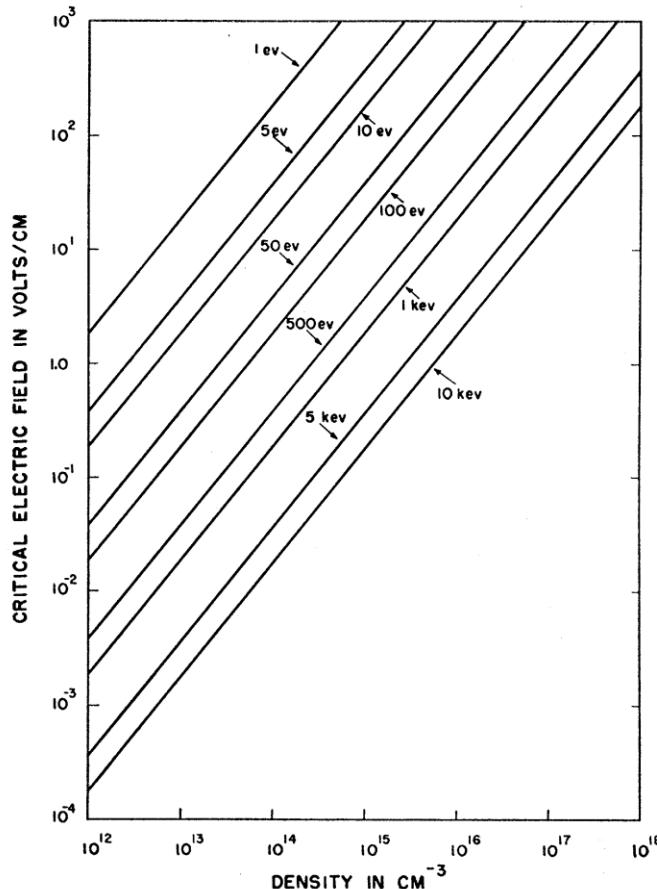
There is a critical velocity that sets right hand side to zero.

Electrons with the velocities larger than the critical are accelerated.

The process is called ***electron runaway***.

Assuming thermal distribution of electrons, there is critical electric field, called ***Dreicer field*** (Dreicer, 1959):

$$E_D = \frac{e^3 n_e \ln \Lambda}{6\pi \epsilon_0^2 k T}$$



**Figure: Dreicer field** as a function of temperature and density (Dreicer, 1959)

Putting the constants, one finds:

$$E_D = 2 \times 10^{-13} \frac{n_e \ln \Lambda}{T} \text{ V m}^{-1}$$

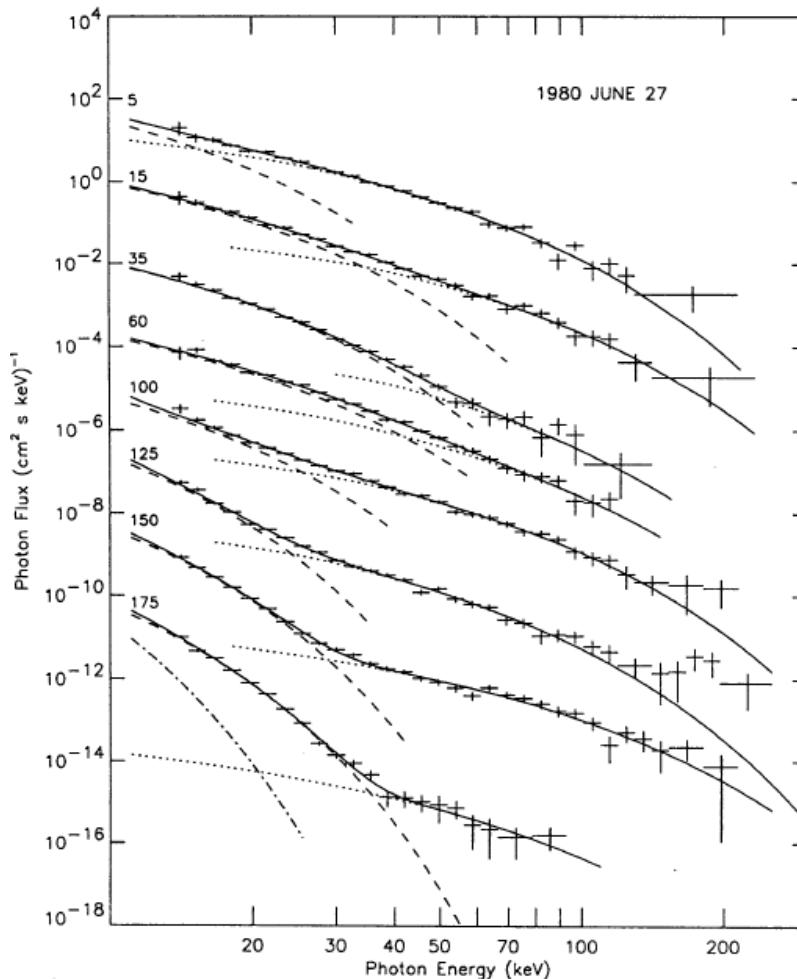
where number density is measured in *particles per cubic meter* and temperature in K.

Typical values of Dreicer field in the solar corona  $\sim 0.01$  V/m

DC electric field models can be categorized according to the electric field:

- a) weak sub-Dreicer
- b) strong super-Dreicer

Runaway acceleration in sub-Dreicer fields has been applied to solar flares by a number of authors (Kuijpers (1981), Heyvaerts (1981), Holman (1985), etc)

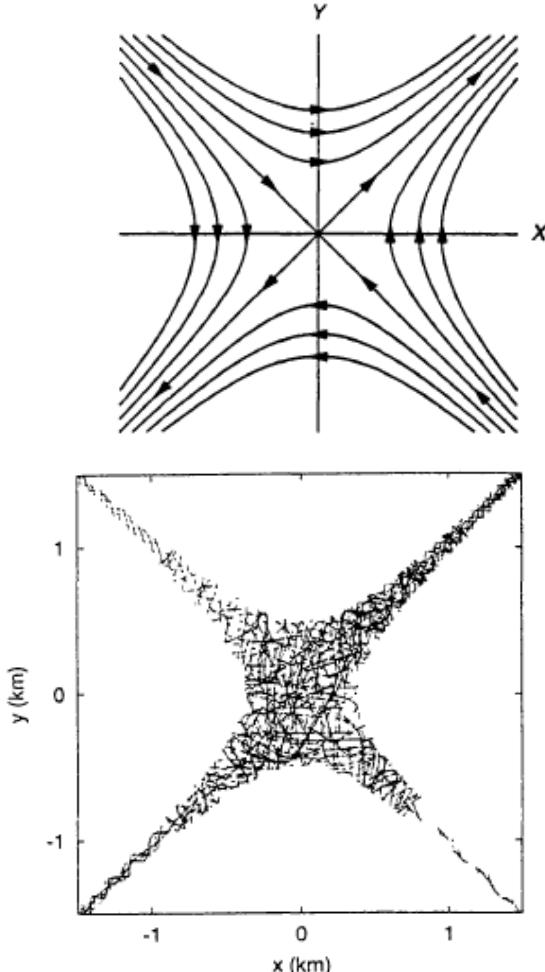


In principle, such models can explain observations, e.g. Benka and Holman (1994) demonstrate good spectral fits.

### *Open questions:*

- 1) *Stability of the involved DC currents*
- 2) *Large scale fields e.g., the size of a loop  $10^{10}$  cm*
- 3) *Issues with return current*

Models with super-Dreicer require smaller spatial scales (Martens (1998), Litvinenko (1996, 2003) etc)



The energy spectrum of particles near an X-point is found to have a power-law functions  $N(E) \sim E^{-\alpha}$ ,  $\alpha$  in the range 1.3-2.0 (e.g. Fletcher & Petkaki, 1997, Mori et al, 1998 )

Sub-Dreicer fields might be responsible for bulk acceleration and super-Dreicer field from super-thermal seed (Aschwanden, 2006).

## Open questions:

- 1) Supply of electrons
- 2) Consistency of the description

Figures from Hannah et al, 2002

# Fermi acceleration

The story started in 1936. Austrian physicist V. Hess measured radiation level in 1912 balloon experiment.

Interesting enough, C.T.R. Wilson observed radiation with cloud chamber experiment (1902) in a railway tunnel near Peebles, Scotland. However, concluded that the radiation cannot be cosmic.

## On the Origin of the Cosmic Radiation

ENRICO FERMI

*Institute for Nuclear Studies, University of Chicago, Chicago, Illinois*

(Received January 3, 1949)

A theory of the origin of cosmic radiation is proposed according to which cosmic rays are originated and accelerated primarily in the interstellar space or the galaxy by collisions against moving magnetic fields. One of the features of the theory is that it yields naturally an inverse power law for the spectral distribution of the cosmic rays. The chief difficulty is that it fails to explain in a straightforward way the heavy nuclei observed in the primary radiation.

### I. INTRODUCTION

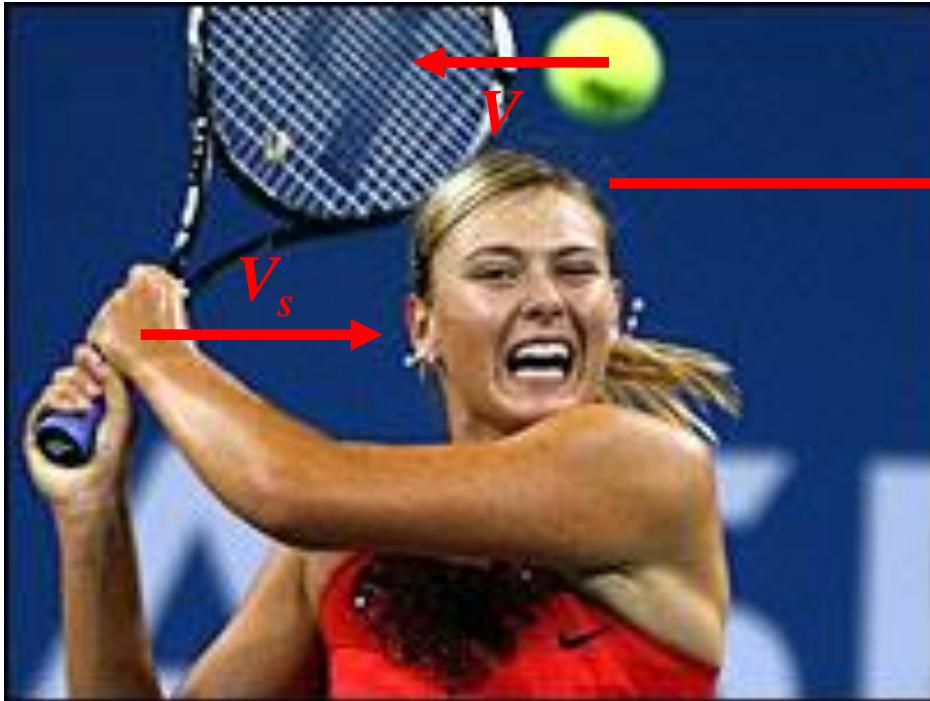
IN recent discussions on the origin of the cosmic radiation E. Teller<sup>1</sup> has advocated the view that cosmic rays are of solar origin and are kept relatively near the sun by the action of magnetic

where  $H$  is the intensity of the magnetic field and  $\rho$  is the density of the interstellar matter.

One finds according to the present theory that a particle that is projected into the interstellar medium with energy above a certain injection

Fermi (1949) explained the acceleration of cosmic-ray particles by reflection on moving magnetic clouds.

Naturally explains *inverse power-law distributions*.



$$V' = V + 2V_s(t)$$

$V_s(t) > 0 \Rightarrow$  energy gain

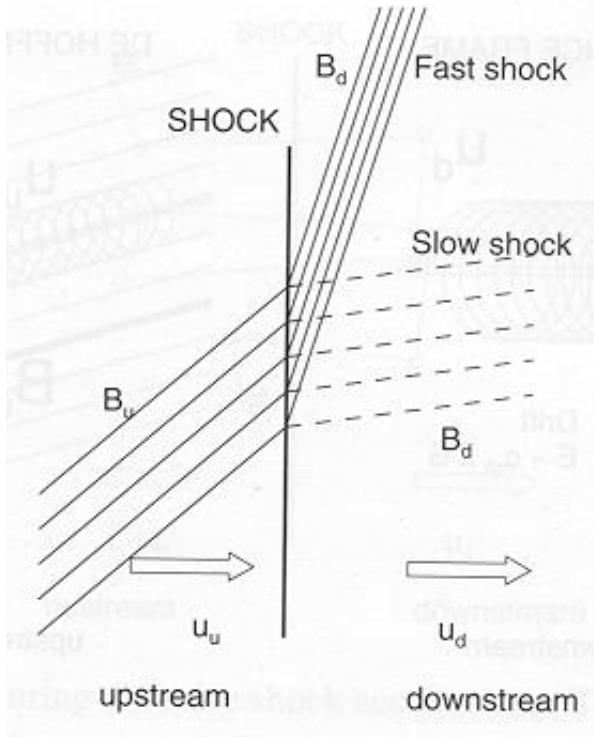
$V_s(t) < 0 \Rightarrow$  energy loss

$$\text{Let } V_s(t) = A \cos(\omega t)$$

*Net energy gain:*  $\langle V' \rangle^2 - \langle V \rangle^2 = 2A^2$

No energy change in the frame of the racket!

- 1) Exchange of energy per collision is small
- 2) Head-on collisions are more frequent



If  $c_{sh}$  is the velocity of the shock structure (e.g. magnetic field acting as a mirror) then the change in particle energy for one collision is

$$\Delta\varepsilon = -2\varepsilon \frac{c_{sh} \cdot v_{\parallel}}{c^2},$$

The probability of head-on collision is proportional to  $v + c_{sh}$  while the probability of overtaking collision is proportional to  $v - c_{sh}$

Taking into account the probabilities the average gain per collision is

$$\langle \Delta\varepsilon \rangle \approx \frac{v + c_{sh}}{2v} \Delta\varepsilon - \frac{v - c_{sh}}{2v} \Delta\varepsilon \approx 2 \frac{c_{sh}^2}{c^2} \varepsilon.$$

The energy change proportional to the velocity of the shock is ***first order Fermi acceleration***; proportional to the square is called ***second order of Fermi acceleration*** (original Fermi model).

The average rate of energy gain can be written

$$\frac{d\varepsilon}{dt} \approx \frac{2c_{sh}^2}{\tau_{coll} c^2} \varepsilon, \quad \Rightarrow \quad \varepsilon(t_A) = \varepsilon_0 \exp\left(\frac{t_A}{\tau_G}\right),$$

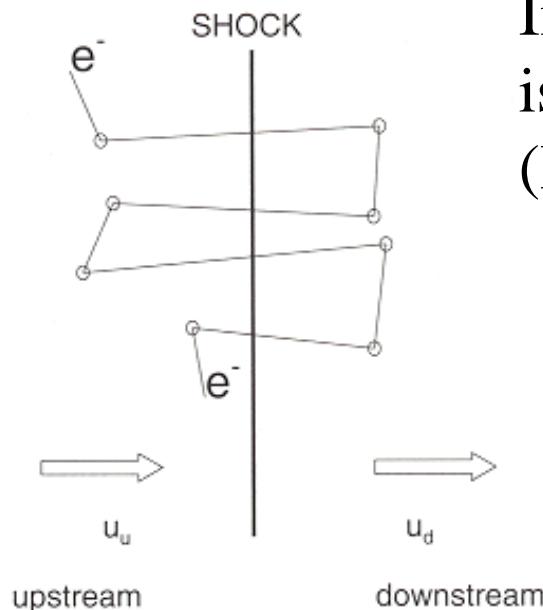
where we introduced “collisional” time.

Let  $E=bE_0$  be the average energy of the particle after one collision and  $P$  be the probability that the particle remains within the acceleration region after one collision. Then after  $k$  collisions, there are  $N=N_0P^k$  particles with energies above  $E=b^kE_0$ . Eliminating  $k$  one finds

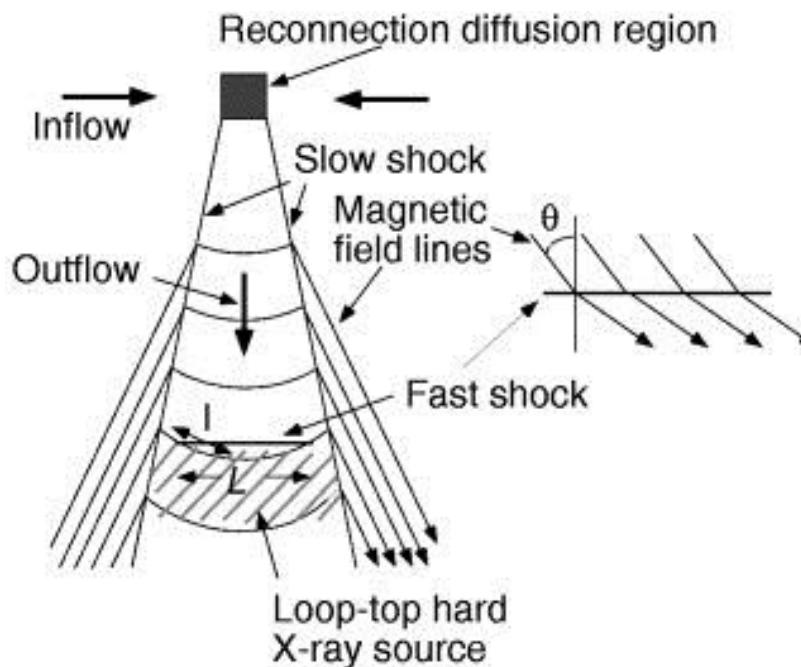
$$\frac{N}{N_0} = \left(\frac{E}{E_0}\right)^{\ln P / \ln b}$$

Therefore we find  $N(E)dE \propto E^{-(1+(\ln P / \ln b))} dE$

**It can be shown that the spectral index should be  $\geq 2$ .**



In solar physics, first-order Fermi acceleration is often called shock-drift acceleration (Priest, 1982; Aschwanden, 2006 etc)



**Figure:** Diffusive shock acceleration (second-order Fermi acceleration)

## Open questions:

- 1) Large areas required
- 2) Number of accelerated electrons

First-order acceleration is viable for 10-100 keV electrons under certain conditions and the energy gain is sufficiently fast (Tsuneta & Naito, 1980)

# Resonant acceleration

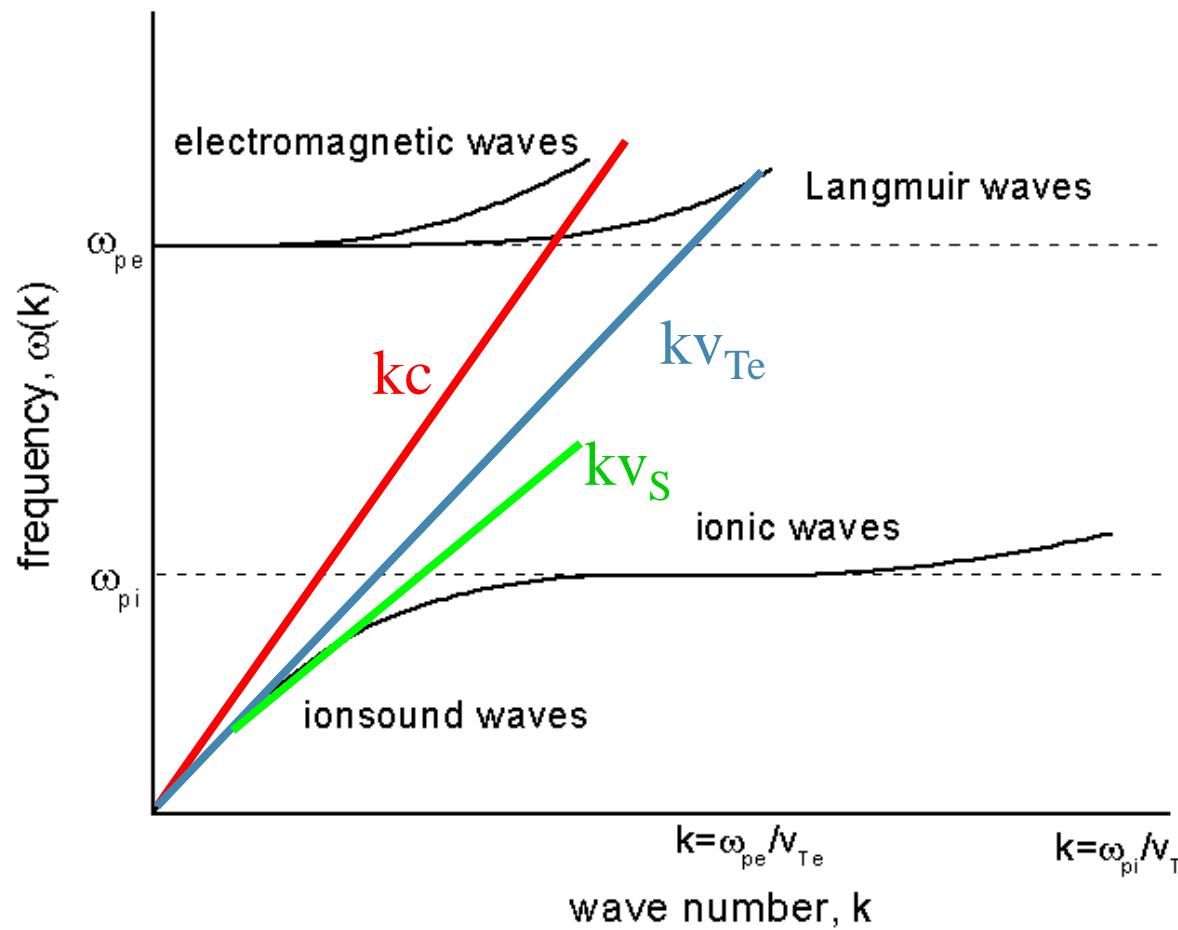
The resonant condition is when *the wave has zero frequency in the rest frame of particle:*

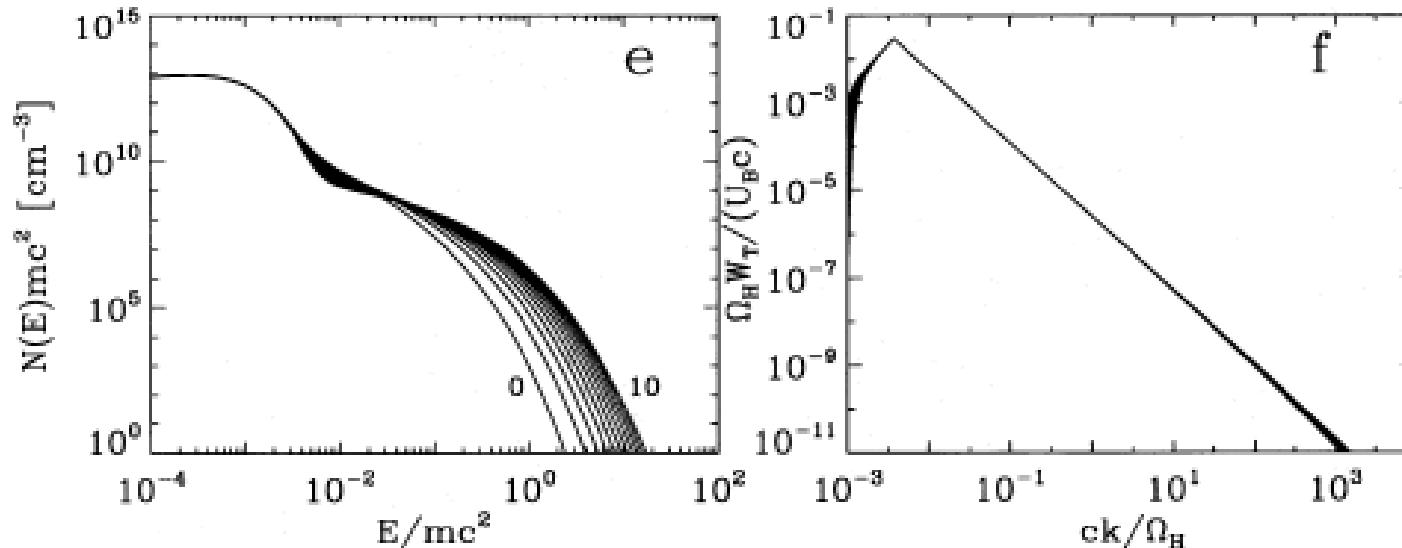
Cherenkov resonance (unmagnetised plasma):

$$\omega - \mathbf{k} \cdot \mathbf{v} = 0$$

Cyclotron resonance (magnetised plasma):

$$\omega - s\Omega - k_{||}v_{||} = 0,$$

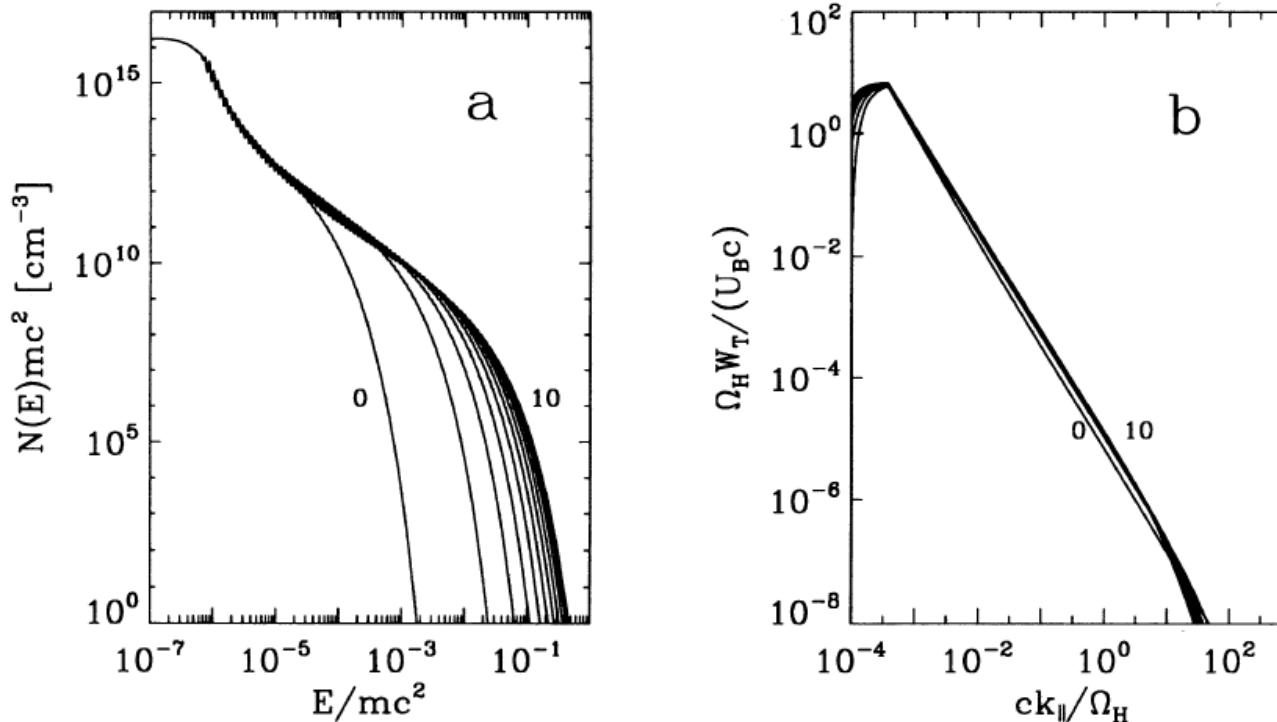




**Figure:** Electron energy spectrum and the spectral density of fast mode waves (Miller et al., 1996)

Various models have been developed to model acceleration of electrons by whistler waves (e.g., Hamilton & Petrosian, 1992; Miller, 1996, 1997)

$$\dot{\omega} - k_{\parallel} v_{\parallel} - l\Omega/\gamma = 0,$$



**Figure:** Proton distribution function and Alfvén waves (Miller & Roberts, 1995)

Stochastic acceleration naturally explains enhancement of heavy ions.

**Open questions:** relatively strong turbulence and its origin

**Energetic particles are good emitters of X-ray, gamma-rays and radio waves**

⇒ **Diagnostics of energetic particles**

**Large number of particles are accelerated in solar flares**

**The exact mechanism of particle acceleration is not known, but a number of mechanisms are proposed**